Claimed effects, outcome variables and methods of measurement for health claims on foods related to the gastrointestinal tract proposed under regulation (EC) 1924/2006

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Claimed effects, outcome variables and methods of measurement for health claims on foods related to the gastrointestinal tract proposed under regulation (EC) 1924/2006

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**ABSTRACT**

Most of the requests of authorisation to the use of health claims pursuant to Regulation EC 1924/2006 related to the gastrointestinal (GI) tract have received a negative opinion by the European Food Safety Authority (EFSA), mainly because of an insufficient substantiation of the claimed effect (CE). The present manuscript refers to the collection, collation and critical analysis of outcome variables (OVs) and methods of measurement (MMs) related to the GI tract compliant with Regulation 1924/2006. The critical evaluation of OVs and MMs was based on the literature review, with the final aim of defining their appropriateness in the context of a specific CE. The results obtained are relevant for the choice of the best OVs and MMs to be used in randomised controlled trials aimed to substantiate the claims on the GI tract. Moreover, the results can be used by EFSA for updating the guidance for the scientific requirements of such health claims.

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Health claim; claimed effect; outcome variable; method of measurement; gastrointestinal tract

1. Introduction

Gastrointestinal (GI) disorders may derive from several different diseases or situations, and are characterised by a wide spectrum of symptoms. The most referred symptoms to the family physicians are bloating and abdominal pain, and it has been established that each person in the life had experienced at least an episode of both (Knowles and Aziz\textsuperscript{2009}; Iovino et al.\textsuperscript{2014}). These are very general symptoms, usually followed by an alteration of the stool consistency and frequency of evacuation, ranging from constipation to diarrhoea (Viniol et al.\textsuperscript{2014}). Although they can turn into several pathologies, i.e. faecal impaction, incontinence or bowel perforations, the simply ascertaining of the presence of these symptoms may impact the quality of life of the individual, affecting both the mental and the behavioural state in children and in adults (Borgaonkar and Irvine\textsuperscript{2000}; Belsey et al.\textsuperscript{2010}). For these reasons, validated questionnaires have been developed in order to qualify and quantify the discomforts and help physicians in the formulation of diagnosis (Borgaonkar and Irvine\textsuperscript{2000}; Belsey et al.\textsuperscript{2010}; Wald and Sigurdsson\textsuperscript{2011}; da Fonseca\textsuperscript{2015}). The impact of lifestyle behaviours on gut function has been widely studied: for instance, it has been claimed that smoking negatively affects the correct functionality of the GI tract (Li et al.\textsuperscript{2014}), while a constant physical activity, in a relatively low intensity, has a protective effect on gut functions (Peters et al.\textsuperscript{2001}). Furthermore, diet seems to have a strong impact on gut, as foods or dietary patterns may act both in a negative and positive way on its function. Data reported by The first National Health and Nutrition Examination Survey (NHANES-I) on self-reporting constipation and dietary interviews on more than 15,000 volunteers evidenced that few episodes of constipation occurred among the consumers of fruit and vegetables, milk and poultry, while higher among strong consumers of tea and coffee (Sandler et al.\textsuperscript{1990}). Prebiotics (i.e. fibre) and probiotics have been
the most studied food/food components for their role on gut functions, but to date there is still a debate on their effects. Concerning dietary fibre, contrasting results have been found when dietary fibre intake has been correlated with bowel movements or constipation (Sanjoaquin et al. 2004; Murakami et al. 2006). Similarly, reviews and meta-analyses evidenced that probiotics have a beneficial role on some markers of gut function, i.e. stool consistency, but interpretation of the data is still debated due to their high heterogeneity and risk of bias (Dimidi et al. 2014; Martinez-Martinez et al. 2017).

A variety of foods and food components, including dietary fibre, probiotic bacteria and yeasts, have been the object of applications for authorisation of health claims pursuant to Regulation (EC) 1924/2006. Most of them have received a negative opinion by the European Food Safety Authority (EFSA) due to a variety of reasons ranging from the non-exhaustive characterisation of the food/food component to the inappropriate formulation or the insufficient substantiation of the claimed effect. For instance, many negative opinions were due to methodological limitations of the studies provided by applicants, including the choice of not appropriate outcome variables (OVs) and/or methods of measurement (MMs).

In this scenario, a project focussing on the appropriateness of the OVs and MMs selected by the applicants has been developed, as described in previous manuscripts (Martini et al. 2017a, 2017b; Martini et al. 2018a, 2018b), with the aim to improve the quality of applications provided to EFSA. The present manuscript refers to the collection, collation and critical analysis of OVs and MMs related to GI tract functions, excluding immune function, compliant with the Regulation 1924/2006.

2. Materials and methods: search strategy

OVs and MMS were collected from the relative Guidance document (EFSA 2016) and from the requests for authorisation of health claims under Article 13.5 and 14 of the Regulation (EC) 1924/2006 related to GI tract functions (http://ec.europa.eu/nuh-claims/). As described by Martini et al. (2017b), the OVs and MMs were included only if the food/food constituent(s) was sufficiently characterised and the claimed effect (CE) was considered to be beneficial. Following this decision tree, five claimed effects related to the GI tract, with the exclusion of immune functions, with 38 OVs were evaluated under Article 13.5. Moreover, four claimed effects with 15 OVs referred to children development were selected under Article 14. For each OV, a database of references was created on PubMed and was used for the critical analysis of the OVs and the MMs. Each OV and related MM was ranked in one of the following categories: (i) appropriate; (ii) appropriate only/better if in combination with other OV or MM; (iii) not appropriate per se; (iv) not appropriate in relation to the specific CE proposed by the applicant(s); (v) not appropriate alone, but useful as supportive evidence for the scientific substantiation of the claimed effect.

3. Critical analysis of outcome variables and methods of measurement

3.1. Function health claims

3.1.1. Reduction of GI discomfort

3.1.1.1. Subjective global assessment of symptoms. Subjective global assessment (SGA) of symptoms is a tool that allows the evaluation of several GI symptoms integrating the results obtained for each symptom in a single parameter. The choice of symptoms to be included in a SGA depends on the particular GI disorder or health claim to be evaluated. In fact, the parameter obtained with a SGA includes measures of change for each of the symptoms which are part of the entry criteria. In the context of GI disorders, SGA includes the evaluation of changes in GI discomfort (e.g. bloating, abdominal pain/cramps, straining and borborygmi) and in defaecation habits.

To evaluate the appropriateness of SGA of symptoms as OV of reduction of GI discomfort, the literature deriving from database #1 was critically evaluated (Table 1).

Several individual symptoms, which may interact in complex ways, are associated with GI discomfort. Their assessment is not always easy because such symptoms can vary from patient to patient and from time to time, in intensity and duration and no symptom represents a sufficiently validated parameter to be recommended unequivocally as the primary outcome measure for the substantiation of health claims on the reduction of GI discomfort in general. For these reasons, key symptoms characterising GI discomfort need to be integrated into a single assessment that it is able to represent an overall effect of the intervention of this outcome. Owing to the fluctuating nature of GI symptoms, the effect of an intervention should be assessed for extended periods of time (e.g. 4–8 weeks) in order to obtain meaningful results (Irvine et al. 2006; Irvine et al. 2016).
In conclusion, the measurement of SGA of symptoms is an appropriate OV to be used for the scientific substantiation of health claims in the context of reduction of GI discomfort.

3.1.1.1. Questionnaire. The most important outcomes to evaluate GI discomfort are the patient’s symptoms, such as abdominal pain, bloating, abdominal distention, flatulence, diarrhoea, constipation, bowel urgency, sensation of incomplete evacuation and straining, and patient’s defaecation habits (e.g. stool frequency, consistency, weight and volume). In the absence of validated biomarkers allowing objective measures of these symptoms, patient-reported outcomes (PRO) are generally accepted (Spiegel et al. 2010). Validated self-administered questionnaires are the recommended method

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<td>954</td>
<td>201</td>
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SGA: subjective global assessment; BMC: bone mineral content; BMD: bone mineral density
of measurement, because the physician assessment will be less accurate or reliable than the patient’s assessment. Diaries and interviews could overcome the problem of recall bias, although their validity should be considered (Irvine et al. 2006; Irvine et al. 2016).

A validated questionnaire for SGA of symptoms must include relevant and representative symptoms of the disorder; moreover, the measure must be reproducible and responsive and a change in the outcome measures should reflect a real change in general health status. Concerning the severity of the symptoms, the two most used scales are categorical ones (often referred to as Likert scales) and visual analogue scale (VAS). Generally, five or seven-point Likert scales are preferable because they are able to detect small but potentially relevant differences (Muller-Lissner et al. 2003). Most questionnaires assess the severity of symptoms, but some of them take also into consideration frequency and/or duration of symptoms. The choice of particular questionnaire depends on the symptoms or disorder to be monitored, the study group and the setting of the study.

Questionnaires frequently used for the assessment of SGA are: gastrointestinal symptom rating scale (GSRS), irritable bowel syndrome-symptom severity scale (IBS-SS), gastro-questionnaire (Gastro-Q) and bowel symptom questionnaire (BSQ).

The GSRS is an interview-based rating scale, easy to apply, consisting of 15 items. It is validated for the assessment of GI symptoms in IBS and peptic ulcer disease. All items are rated in seven steps, of which 0, 1, 2 and 3 are defined by descriptive anchors (0 indicates absence of symptoms and 3 an extreme degree of the symptom). The intensity of symptoms, frequency of attacks, duration of attacks and their impact on daily living are assessed in the GSRS, when appropriate (Svedlund et al. 1988).

The IBS-SS contains severity scoring questions (related to pain, abdominal pain, abdominal distension, bowel habits and quality of life). Each of the five questions generates a maximum score of 100 using prompted VAS, leading to a total maximum score of 500. It is validated in IBS patients, in which this scoring system produces a meaningful value that is reproducible and sensitive to change (Francis et al. 1997).

The Gastro-Q contains 27 GI symptom items drawn from the Rome–II criteria, which are rated by frequency (rated on a 4-point scale) and severity (rated on a 5-point scale), as well as some items to exclude organic diseases. Gastro-Q has been validated in normal participants and in patients with IBS. The Gastro-Q is a very economic, reliable and content-valid instrument for the assessment of GI symptoms (Leibbrand et al. 2002).

The self-report BSQ contains 83 items, among which questions on age, sex, marital status, the highest level of educational training and employment of the highest income earner in the household (to calculate socioeconomic status). Thirty-six items regard GI symptoms, while four are related to health care seeking. The BSQ has been validated in an Australian population-based sample, composed of outpatients, volunteers and random sample of the population. This questionnaire is well accepted, easy to understand, and provides reliable and valid data for assessing GI symptoms (Talley et al. 1995).

In conclusion, validated questionnaires are an appropriate method for the subjective global and individual assessment of GI symptoms. In addition, they are appropriate methods to assess single domains of GI symptoms (bloating, straining, borborygmi, sensation of complete/incomplete evacuation, abdominal distension, flatulence, need to defaecate/bowel urgency, diarrhoea and stool frequency).

3.1.1.2. Abdominal pain/cramps. Abdominal pain (also called stomachache) is a pain that occurs between chest and pelvic regions. It can be crampy, achy, dull, intermittent or sharp and may derive from many conditions including infection, presence of abdominal mass, inflammation, obstruction, menstruation, lactose intolerance and intestinal disorders.

To evaluate the appropriateness of abdominal pain/cramps as OV of reduction of GI discomfort, the literature deriving from database #2 was critically evaluated (Table 1).

Abdominal cramping and pain are the central symptoms of IBS, a functional GI disorder characterised by chronic or recurrent abdominal pain or discomfort. The onset of these symptoms reduces the quality of life of affected individuals. Severity is the main recorded characteristic of pain, while less is known about the impact of other pain dimensions, including frequency and duration. Abdominal pain and discomfort are wrongly combined into the same symptom but their distinction is essential for a valid measurement (Spiegel et al. 2010). In fact, abdominal pain often co-exists with one or more symptoms of GI discomfort, such as borborygmi, distension, straining or flatulence. Key symptoms characterising a particular GI disorder, therefore, need to be integrated into a single assessment that it is able to represent an overall effect of the intervention of GI discomfort. Pain is
measured separately from discomfort by using a numeric rating scale.

Abdominal pain is also characteristic of lactose maldigestion, although its diagnosis is not solely based on the presence of this unspecific symptom (Jellema et al. 2010).

In conclusion:

- The incidence and severity of abdominal pain/cramps are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.
- Moreover, these outcome OVs are not appropriate to be used alone for the scientific substantiation of such claims in children.
- The incidence and severity of abdominal pain/cramps are not appropriate OVs for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- The incidence and severity of abdominal pain/cramps are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of improved lactose digestion, but can be used as supportive evidence for such health claims.

3.1.1.2.1. Visual analogue scale. The VAS is a widely used method for the assessment of pain severity and relief. It is reproducible, easy to use, and can be applied to a variety of clinical practices and research. In general, VAS has been developed to measure a parameter that is believed to range across a continuum of values and, therefore, not directly measurable. Operationally, a VAS is a vertical or horizontal line, 100 mm long, flanked at each end by word descriptors. The patient is asked to rate his current pain perception by drawing a line on a continuous scale from 1 to 10. “1” corresponds to a mild discomfort from time to time, while “10” means the most intense pain. Distance from these two points of the line corresponds to the different degrees of severity. VAS is subjective and useful to assess changes within individual, but less of value for cross-sectional comparisons of different individuals. Validation studies have shown high-reliability of VAS in measuring both acute and chronic pain. When a VAS is repeated within a short period of time, 90% of the intra-individual scores usually overlap. Therefore, the repeatability of VAS is good. VAS is also very sensitive to change. From a clinical point of view, a difference of about 13 mm on a VAS represents, on average, a significant change (Gallagher et al. 2001; Williamson and Hoggart 2005).

Based on these considerations, VAS is a solid and appropriate method for the assessment of severity of abdominal pain/cramps.

3.1.1.2.2. Questionnaire. The use of retrospective questionnaires is an acceptable method, provided that the recall interval is limited to the previous 3 months. Questionnaires must be completed before treatment and at follow-up visits. A binary PRO end point, such as “adequate relief,” “satisfactory relief” or “considerable relief”, corresponds to a dichotomous responder status (yes/no relief) and represents a primary outcome measure. The patients who give the affirmative response to adequate/satisfactory relief at half of the treatment time, at minimum, are considered as responders. Binary end points are easy to administer and straightforward to interpret, but fail to detect small changes of potential clinical relevance.

One of the most frequently used questionnaires is the McGill Pain Questionnaire (MPQ), a multidimensional pain tool which measures the sensory (what the pain feels like physically), affective (what the pain feels like emotionally), evaluative (overall intensity of the pain experience) and miscellaneous aspects of pain. It is easy to administer and evaluate, as no training is required to score and interpret it. It comprises the pain rating index, and a 1-item, 5-point pain intensity scale (present pain intensity). The pain rating index is composed of 78 pain descriptor items divided into 20 subclasses. Each of them contains 2–6 words referring to 4 major subscales: sensory (subclasses 1–10), affective (subclasses 11–15), evaluative (subclass 16), and miscellaneous (subclasses 17–20). The value (score) is based on three main measures: (1) the pain rating index; (2) the number of words chosen; (3) the present pain index based on a 0–5 intensity scale (none (0) and excruciating (5)) (Hawker et al. 2011). A higher score on the MPQ indicates the most intense pain. Several studies have been made to validate MPQ and have confirmed the feasibility, reliability, responsiveness and ease of administration of this questionnaire. These studies have been carried out in patients with rheumatoid arthritis or cancer to evaluate the validity of MPQ in measuring different aspects of pain. However, some patients (older people or illiterate) have difficulty to complete the questionnaire due to the complexity of the vocabulary used. In these cases, the supervision during completion of MPQ is needed (Melzack 1975). A short version of MPQ (SF-MPQ) is used in specific research settings in case of limited time form the patients (Hawker et al. 2011).
In conclusion, questionnaire, e.g. the MPQ, is an appropriate method to assess abdominal pain/cramps.

3.1.1.3. Bloating. Bloating (or abdominal bloating) is the subjective sensation associated with abdominal distension (objective sign). Although somehow related, abdominal bloating and distension are two separate symptoms. Bloating affects 10–30% of the general population and up to 96% of patients with functional GI disorders, like functional dyspepsia or IBS and it is frequently associated with constipation. It is often described by patients as very intrusive, significantly impacting their quality of life. The classification, pathophysiology, clinical significance and treatment of abdominal bloating remain unknown (Houghton 2011; Iovino et al. 2014).

To evaluate the appropriateness of bloating as OV of reduction of GI discomfort, the literature deriving from database #3 was critically evaluated (Table 1).

Bloating is an ambiguous term that can indicate many sensations, like swollen/distended abdomen, full belly, feeling of abdominal pressure or wall tension or sensation of excess gas; therefore, it can be very subjective (Azpiroz and Malagelada 2005). Bloating is one of the most common and bothersome symptoms for IBS patients (Iovino et al. 2014). Being a subjective symptom, no measurable parameters exist to evaluate the frequency, severity and duration of bloating, especially by a physician. Bloating is also a symptom of carbohydrate malabsorption, especially lactose, but it is not specific and only occurs in about one-third of lactose “malabsorbers” (Azpiroz et al. 2015). Bloating often co-exists with one or more of borborygmi, distension, abdominal pain or flatulence. For that reason, the evaluation of the effect of an intervention on GI discomfort requires the assessment of a global score that takes into account all symptoms related to this outcome.

In conclusion:

- The frequency, severity and duration of bloating are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.
- The frequency, severity and duration of bloating are not appropriate OVs for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- The frequency, severity and duration of bloating are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of improved lactose digestion. However, they can be used as supportive evidence for such health claims.

3.1.1.3.1. Questionnaire. See Section 3.1.1.1.1

3.1.1.4. Straining. Faecal straining is the contraction of the diaphragm and abdominal wall muscles with a closed glottis. It is a physiological and necessary process during defaecation and the straining process has been correlated with stool type. However, change in duration and intensity of straining at stool can be a symptom of various conditions, such as constipation. In this case, prolonged straining may cause hiatus hernia, haemorrhoids, varicose veins in the limbs and deep venous thrombosis (Heaton and Cripps 1993).

To evaluate the appropriateness of straining as OV of reduction of GI discomfort, the literature deriving from database #4 was critically evaluated (Table 1). The straining forces applied during defaecation may be very significant and may let the development of pathological conditions. Straining represents a discomfort for many people (healthy or not) inasmuch it reduces the quality of life, when its duration or severity increases. Other than pathological conditions, straining can be also a behavioural attitude, given by different situations, i.e. impatience, unfavourable posture while defaecating, pelvic floor dyssynergia (anismus) or the sensation of incomplete evacuation (Heaton and Cripps 1993).

Straining often co-exists with one or more of borborygmi, distension, abdominal pain or flatulence. Key symptoms of GI discomfort need to be integrated into a single assessment that it is able to represent an overall effect of the intervention on this outcome. Furthermore, owing to the fluctuating nature of GI symptoms, the effect of an intervention should be assessed for extended periods of time (e.g. 4–8 weeks) in order to obtain meaningful results (Irvine et al. 2006; Irvine et al. 2016).

In conclusion, the severity and duration of straining cannot be used alone as appropriate OVs for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.

3.1.1.4.1. Questionnaire. See Section 3.1.1.1.1

3.1.1.5. Borborygmi. Borborygmus (plural borborygmi), also known as rumbling or gurgling, is a sound induced by bowel peristalsis, which moves gas through the liquid content of the intestine. Causes of borborygmi may be fasting and incomplete digestion of food leading to an excess of gas in the intestine.

The complete absence of borborygmi may indicate intestinal obstruction, paralytic ileus or other serious pathology.
To evaluate the appropriateness of borborygmi as OV of reduction of GI discomfort, the literature deriving from database #5 was critically evaluated (Table 1).

Borborygmi can be physiological or the result of morbid conditions, such as irritable bowel syndrome (IBS) or coeliac disease. In healthy individuals, but mostly in patients with IBS, borborygmi of high severity/frequency induce GI discomfort. The borborygmi are typically associated with other symptoms of GI discomfort, such as flatulence, abdominal cramps, bloating and straining, and all of them vary between individuals in frequency and severity. For this reason, key symptoms of GI discomfort need to be integrated in a single assessment that it is able to represent an overall effect of the intervention on this outcome (Spiegel et al. 2010).

In conclusion:

- Borborygmi are not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.
- Borborygmi are not an appropriate OV for the scientific substantiation of health claims in the context of maintenance of normal defaecation.

3.1.1.6. Sensation of complete/incomplete evacuation. Evacuation is a physiological need which is strictly correlated to the emotional and psychological sphere. In fact, sensations of incomplete evacuation may occur in anxious states or when hygienic conditions are not favourable. Furthermore, constipation or disturbances during defaecation may allow the subject to think to an incomplete evacuation. This sensation leads the subject to suffer from pain, intestinal cramps up to an impellent need of evacuate, without any chance of sphincters control.

To evaluate the appropriateness of sensation of complete/incomplete evacuation as OV of reduction of GI discomfort, the literature deriving from database #6 was critically evaluated (Table 1).

The sensation of incomplete evacuation is a subjective symptom associated with GI discomfort. It is difficult to assess because it can vary from patient to patient and from time to time, in severity and duration. It is not a sufficiently validated parameter to be recommended unequivocally as the primary outcome measure for substantiation of health claims related to the reduction of GI discomfort. Furthermore, this symptom interacts with other GI symptoms in complex ways. The feeling of incomplete evacuation is also one of the diagnostic criteria used for the diagnosis of constipation (Stewart et al. 1999).

In conclusion:

- The sensation of complete/incomplete evacuation is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.
- The sensation of complete/incomplete evacuation is an appropriate OV for the scientific substantiation of health claims in the context of maintenance of normal defaecation.

3.1.1.7. Abdominal distension. Abdominal distension is a visible, measurable and uncomfortable increase in the abdominal girth. This distension is objectively visible, and it is measurable by several methods, like tape, X-ray, computed tomography and abdominal inductance plethysmography. It is usually absent in the morning and progressively appears during the day. Abdominal distension is one of the main features of IBS, although the pathophysiological mechanisms underlying visible distension of the abdomen are not known. It has been hypothesised that abdominal distension may be related to a lower threshold for visceral-omotor reflexes involved in the regulation of abdominal wall muscle tone, to the increase in intra-abdominal volume due to swallowed air, ingested food and/or fluid, to retained faeces and flatus, and/or to the secretion of digestive juices (Chang et al. 2001; Sullivan 2012).

To evaluate the appropriateness of abdominal distension as OV of reduction of GI discomfort, the literature deriving from database #7 was critically evaluated (Table 1).

As already mentioned for straining, abdominal distension represents a discomfort for many people in healthy or pathological conditions. It has a negative impact on the quality of life and it is sometimes associated with pain. During the assessment, it is important to distinguish abdominal distension (objective) from bloating (subjective). Abdominal distension is one of the most common and bothersome symptoms in IBS patients. Constipation is characterised by a higher abdominal girth compared to diarrhoea (Agrawal and Whorwell 2008).
In children, abdominal distension is often caused by air swallowing. This discomfort leads children to limit their food intake (Rasquin-Weber et al. 1999).

In conclusion, evaluation of abdominal distention is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of reducing GI discomfort. Rather, a SGA of all the combined symptoms should be used.

Moreover, it is not appropriate OV to be used alone for the scientific substantiation of such health claims in children.

3.1.1.7.1. Questionnaire. See Section 3.1.1.1.1

3.1.1.8. Flatulence. Flatulence, also known as farting or passing wind, is the excessive accumulation of air or gas (produced during digestion process) in the intestine that is expelled through the anus, often with sound and/or odour. There are several factors that cause an increase in intensity and occurrence of flatulence, among which lactose intolerance, malabsorption of certain foods and breakdown of undigested foods due to microbial action. Flatus is predominantly constituted by hydrogen, carbon dioxide and methane, while the odour is due to other waste trace gases or compounds such as skatole and sulphur-containing substances. Despite these negative aspects related to flatulence, it is a normal biological process and, on average, people have approximately 15 flatus per day (Tomlin et al. 1991; Price et al. 1988).

To evaluate the appropriateness of flatulence as OV of reduction of GI discomfort, the literature deriving from database #8 was critically evaluated (Table 1).

Similar to what already mentioned for straining and abdominal distension, flatulence represents a discomfort for many healthy people or patients inasmuch it reduces the quality of life. Moreover, it may become socially disabling when its occurrence or intensity increases. It is commonly a source of embarrassment and can cause distress. Flatulence can have a different aetiology pertaining to physiological or pathological conditions of the GI system. However, the mechanisms underlying its physiology and pathophysiology are poorly understood (Manichanh et al. 2014). Flatulence often co-exists with one or more symptoms like borborygmi, distension, abdominal pain or bloating that together lead a decrease in GI comfort. For these reasons, the majority of studies evaluating a reduction of GI discomfort also assessed a reduction of a global score that takes into account all of GI discomfort symptoms during a long period of treatment (e.g. 4–8 weeks) (Irvine et al. 2006; Irvine et al. 2016).

Flatulence is hard to assess. On one hand, people are usually reticent to report on it. On the other hand, individuals may be unaware of flatulence when it occurs because there is either no smell, the amount is tiny, or flatulence is often confused with other symptoms, particularly abdominal bloating. This subjective perception leads to an underestimation of number of gas evacuations (Price et al. 1988). An increase in the severity and occurrence of flatulence may be a symptom of carbohydrate malabsorption, especially lactose. Flatulence appears to be a more reliable indicator of lactose maldigestion than other symptoms. However, there are inter-individual differences in the development of flatulence and cramps in patients with lactose malabsorption. Thus, the diagnosis of lactose intolerance cannot rely only on this unspecific symptom (Rao et al. 1994).

In conclusion:

- The intensity and occurrence of flatulence are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort. Rather, a SGA of all the combined symptoms should be used.
- The intensity and occurrence of flatulence are not appropriate OVs for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- The intensity and occurrence of flatulence are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of improved lactose digestion, but they can be used as supportive evidence.

3.1.1.9. Need to defaecate/bowel urgency. Bowel or faecal urgency can be defined as a sudden, irresistible need to have a bowel movement. It is considered an unpleasant sensation as this strong desire to defaecate compels people to stop what they are doing and immediately evacuate. Bowel urgency affects about 18% of healthy subjects and 72% of subjects with diarrhoea. Although bowel urgency is most common in patients with diarrhoea-predominant IBS (D-IBS), patients with constipation-predominant IBS and alternating IBS also report faecal urgency (Allen et al. 2004; Basilisco et al. 2007).

To evaluate the appropriateness of need to defaecate/bowel urgency as OV of reduction of GI discomfort, the literature deriving from database #9 was critically evaluated (Table 1).
Bowel urgency is a symptom that patients cannot clearly define or describe. To date, the quantification and the characterisation of the urgency sensation perceived by the patient cannot be adequately defined because of the insufficiency of the data. Bowel urgency is not a unidimensional symptom, but rather a multidimensional construct better described by four hierarchically related scales: (i) urgency attributes; (ii) immediacy; (iii) controllability; (iv) psychosocial impact. Due to the difficulty of its evaluation, it should not be considered an appropriate primary endpoint of treatment efficacy in clinical trials. However, bowel urgency represents a symptom clinically meaningful to patients with D-IBS and represents an acceptable co-primary endpoint to assess GI discomfort, if an adequate tool is used for its assessment (Spiegel et al. 2010).

In conclusion, need to defaecate/bowel urgency cannot be used alone as appropriate OV for the scientific substantiation of health claims in the context of reduction of GI discomfort, because the term “GI discomfort” comprises several symptoms. Rather, a SGA of all the combined symptoms should be used.

3.1.1.9.1. Questionnaire. See Section 3.1.1.1.1

3.1.1.10. Constipation. Constipation is a common condition affecting people, especially women, of different ages, such as babies, children, adults and the elderly, with a higher prevalence in older adults and during pregnancy. According to The North American Society for Pediatric Gastroenterology, Hepatology and Nutrition (NASPGHAN), constipation is defined as “a delay or difficulty in defecation, present for two or more weeks, sufficient to cause significant distress to the patient” (North American Society for Pediatric Gastroenterology, Hepatology and Nutrition 2006). According to the Rome III Criteria, constipation takes into account the frequency of defaecation, stool consistency, straining and sensation of incomplete evacuation. These symptoms result from a variety of causes, including low dietary fibre intake, emotional or nervous disturbances, structural disorders (such as haemorrhoids, diverticular disease, colon polyps, colon cancer and inflammatory bowel disease), drug-induced aggravation of constipation and infections (Arce et al. 2002; Alame and Bahna 2012).

To evaluate the appropriateness of constipation as OV of reduction of GI discomfort, the literature deriving from database #10 was critically evaluated (Table 1).

Constipation is a disorder of defaecation related to bowel habits like stool frequency, consistency and defaecation symptoms. Constipation can lead to bloating and discomfort. This condition reduces the quality of life, both in adults and children. The clinical presentation of constipation includes a broad spectrum of symptoms that are also present in other disorders. Despite constipation is a common complaint, it is a poorly defined clinical condition (Agachan et al. 1996; Rey et al. 2014). The perception of constipation may include both the objective low stool frequency and subjective alteration of the normal defaecation, i.e. faecal straining, incomplete evacuation, abdominal bloating or pain, hard or small stools or mechanical expulsion of the stools (Arce et al. 2002). Due to the subjective nature of certain symptoms that define constipation, it is important to follow the Rome III Criteria for a correct evaluation of the occurrence and severity of constipation. Diagnostic criteria for constipation must include two or more of the following:

a. Straining during at least 25% of defecations;
b. Lumpy or hard stools in at least 25% of defecations;
c. Sensation of incomplete evacuation for at least 25% of defecations;
d. Sensation of anorectal obstruction/blockage for at least 25% of defecations;
e. Manual manoeuvres to facilitate at least 25% of defecations (e.g. digital evacuation and support of the pelvic floor);
f. Fewer than three defecations per week.

In conclusion:

- The incidence and severity of constipation are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort, because the term “GI discomfort” comprises several symptoms. Rather, a SGA of all the combined symptoms should be used. Moreover, these OVs are not appropriate to be used alone for the scientific substantiation of such claims in children.
- The incidence and severity of constipation are appropriate OVs for the scientific substantiation of health claims in the context of maintenance of normal defaecation.

3.1.1.10.1 Patient assessment of constipation (PAC). The PAC is a symptom and quality-of-life self-report instrument, composed by two complementary components, the Symptom Questionnaire (PAC-SYM) and the quality of life questionnaire (PAC-QOL), which can be used singularly or in combination. PAC-SYM is a self-reported questionnaire developed to assess
Stool consistency is an appropriate OV for the scientific substantiation of health claims in the context of maintenance of normal defaecation. It comprises changes in bowel habits that lead to GI disorders, like constipation or diarrhoea. Hard stools are typical of constipation, with a difficult and painful stool passage through the anus. The stools become hard due to low water content as a result of low fluid intake and/or an increased intestinal transit time. A low fibre intake may also lead to hard stools. On the other hand, loose stools are typical of diarrhoea. Soft to watery stools pass out easily and more frequently than normal and are associated to faecal incontinence. Several studies have shown that changes in stool consistency that lead to a softening of the faeces reduce the risk of constipation, both in adults and children (Bannister et al. 1987). An accurate evaluation of stool consistency requires the use of a validated method.

In conclusion:

- Stool consistency is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort, because the term “GI discomfort” comprises several symptoms. Rather, a SGA of all the combined symptoms should be used. Changes in stool consistency, however, could be used as evidence in support of the mechanisms by which an intervention may reduce GI discomfort.
- Stool consistency is an appropriate OV for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- Stool consistency is an appropriate OV for the scientific substantiation of health claims in the context of contribution to the softening of stools in children.

### 3.1.1.11.1. Bristol stool scale

The Bristol stool scale or chart is a method to evaluate the stool consistency. This seven-point scale was validated in healthy control subjects and in patients with GI disorders. Its efficacy and reliability in discriminating between healthy individuals and individuals with pathological conditions affecting stool consistency have been demonstrated clinically and for research purposes. It recognises seven types of stools:
Type 1: Separate hard lumps, like nuts; hard to pass;
Type 2: Sausage shape but lumpy;
Type 3: Like a sausage but with cracks on the surface;
Type 4: Like a sausage or snake, smooth and soft;
Type 5: Soft blobs with clear cut edges; passed easily;
Type 6: Fluffy pieces with ragged edges; a mushy stool;
Type 7: Watery with no solid pieces; entirely liquid.

The Bristol stool scale incorporates images illustrating faecal samples, along with precise descriptions of the shape and consistency of stools, using easily recognisable examples (Pares et al. 2009; Martinez and De Azevedo 2012).

A modified Bristol stool scale was created and validated for the use in children (Lane et al. 2011).

In conclusion, the Bristol stool scale appears to be a reliable and appropriate technique for measuring stool consistency, both in adults and children.

3.1.12. Diarrhoea. Diarrhoea is a symptom rather than a disease and can be present in many different conditions, like IBS, coeliac disease, Crohn’s disease, GI infections and lactose intolerance. As already described in Section 3.1.1.11, diarrhoea is characterised by loose or watery stools. It is most common in children. Diarrhoea may have subjective meanings and most patients consider loose stools as the key characteristic of diarrhoea. However, this symptom is characterised by many other factors. It is usually defined as three or more loose or watery stools in a 24-h period and can be classified as acute (lasting <2 weeks) or persistent (lasting 2 weeks or more). For infants, the definition of diarrhoea is different than for adults, because loose stool pass more frequently in normal conditions, especially in infants who are breastfed. For this reason, the diagnosis of diarrhoea in infants is made by the mother on the basis of what is abnormal for her child (Lee et al. 2012). However, the physician’s diagnosis is necessary for research purposes. Collateral effects of diarrhoea are dehydration and dysentery.

To evaluate the appropriateness of diarrhoea as OV of reduction of GI discomfort, the literature deriving from database #12 was critically evaluated (Table 1).

Diarrhoea is considered a defecatory symptom and it is used to assess changes in bowel habits. Beneficial changes in bowel habits should not lead to diarrhoea.

There are many factors that need to be taken into consideration in order to define diarrhoea, like the frequency, duration and severity of diarrhoea episodes. There are many causes of diarrhoea, which may be infectious or not. A number of non-infectious medical conditions may cause diarrhoea, for example lactose malabsorption, coeliac disease, IBS, inflammation of the bowel, use of antibiotics or cancer. Regarding lactose malabsorption, symptoms like diarrhoea do not show a significant relationship with breath hydrogen excretion, which is considered the gold standard method for the assessment of lactose malabsorption (Rao et al. 1994; Hammer et al. 2012).

In conclusion:

- The frequency, severity and duration of diarrhoea are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of reduction of GI discomfort, because the term “GI discomfort” comprises several symptoms. Rather, a SGA of all the combined symptoms should be used. Moreover, these OVs are not appropriate to be used alone for the scientific substantiation of such claims in children.
- The frequency, severity and duration of diarrhoea are appropriate OVs for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- The frequency, severity and duration of diarrhoea are not appropriate OVs to be used alone for the scientific substantiation of health claims in the context of improved lactose digestion. However, they can be used as supportive evidence for such health claims.

3.1.12.1. Questionnaire. See Section 3.1.1.1.1.

3.1.13. Stool frequency. Stool frequency, also known as frequency of bowel movements or frequency of defaecation, is the frequency whereby the stool passes through the anus, without manual manoeuvres or rescue laxatives. A physiological bowel frequency varies from two to three times per day to once every three days (Heaton et al. 1992), while diarrhoea or constipation occurs when defaecation is, respectively, more or less frequent than that (Longstreth et al. 2006). In most cases, changes in stool frequency are not as sign of disease, but rather an indicator of a change in dietary habits, routine, stress levels or even physical exercise. They may also be associated with the use of stimulants, like nicotine or caffeine, especially if there is excessive use within a short period of time.

To evaluate the appropriateness of stool frequency as OV of reduction of GI discomfort, the literature deriving from database #9 was critically evaluated (Table 1).

The normal length of time between bowel movements ranges widely from person to person. Stool
frequencies outside the physiological ranges can occur. In children, the most important factor that affects the frequency of defaecation is the child’s age. The most frequent defaecation occurs in the first month of life and decreases with increasing age (Weaver and Steiner 1984). The analysis of the frequency of bowel movements is important to identify changes in bowel habits that can lead to GI disorders, like constipation (stool frequency is often used to define constipation, but as the unique criterion it may not be sufficiently comprehensive) or diarrhoea. If more than 3 days pass without having a bowel movement, the stool becomes harder and more difficult to pass, which may cause pain and discomfort. In some studies involving children, a correlation is shown between low frequency of bowel movements (less than once a day) and presence of hard stools (Weaver and Steiner 1984). However, there are cases of frequent bowel movements that cannot fit into the classical presentation of diarrhoea and an increase in stool frequency may not lead to changes in the consistency or colour of the faeces.

In conclusion:

- Stool frequency is not an appropriate OV for the scientific substantiation of health claims in the context of GI discomfort, because the term “GI discomfort” comprises several symptoms. Rather, a SGA of all the combined symptoms should be used. Changes in stool frequency, however, could be used as evidence in support of the mechanisms by which an intervention may reduce GI discomfort.
- Stool frequency is an appropriate OV for the scientific substantiation of health claims in the context of maintenance of normal defaecation.
- Stool frequency is not an appropriate OV for the scientific substantiation of health claims in the context of contributing to softening of stools in children, but it can be used as supportive of a mechanism through which the food/constituent could exert the claimed effect.

3.1.13.1. Questionnaire. See Section 3.1.1.1.1

3.1.14. Quality of life. Quality of life is a generic and broad term, the definition of which depends on a variety of factors, including the support from friends and relatives, the ability to work and be interested in its own occupations, as well as health and disabilities. Health-related quality of life is a concept encompassing illness experience, functional status and the perceptions of the subject related to a medical condition. Social, cultural, psychological and disease-related factors have an effect on it (Felce and Perry 1995). GI discomfort can negatively impact the quality of life up to compromise it in case of severe symptoms.

To evaluate the appropriateness of quality of life as OV of reduction of GI discomfort, the literature deriving from database #13 was critically evaluated (Table 1).

The measurement of health-related quality of life allows a composite evaluation of the patient’s condition, which results from biological (objective) and psychological (subjective) factors. Investigators can use the assessment of health-related quality of life to compare the data across subject cohorts, but also to evaluate the response to a treatment in intervention studies (Wong and Drossman 2010). In addition, there can be some discrepancies between the patient’s and the physician’s perception in relation to the success of a treatment that aims at improving the symptoms of a disease, rather than at curing the disease. In these cases, it is suitable to measure the success of the treatment in terms of the improvement of the quality of life of the patient.

In conclusion:

- The quality of life is not an appropriate OV to be used alone for the scientific substantiation of health claims referring to the reduction of GI discomfort. However, it can be used a supportive evidence.
- The quality of life is not an appropriate OV to be used alone for the scientific substantiation of health claims referring to the maintenance of normal defaecation. However, it can be used a supportive evidence.

3.1.14.1. Functional digestive disorders quality of life questionnaire. Functional digestive disorders quality of life questionnaire (FDDQOL), developed by Chassany et al. with the aim of providing a measure of the quality of life for patients with functional dyspepsia and IBS, is one of the first functional, digestive diseasespecific QOL tools (Chassany et al. 1999). The original 74 items have been subsequently reduced to 43 on its current version. The FDDQOL has eight domains: daily activities (8 items), anxiety (5 items), diet (6 items), sleep (3 items), discomfort (9 items), coping with disease (6 items), control of disease (3 items) and stress (3 items). Referring to their condition over the past fortnight, the subjects assign individual scores to each item using a six-point Likert scale as response format. The score for each scale is then obtained by the sum of the scores for each item and transformed into a scale from 0 to 100 corresponding to the worst and the best possible health state measured by the
questionnaire, respectively. Finally, a global score (ranged from 0 to 100) is computed from the scale scores. The questionnaire has shown good reliability. Compared to a generic QOL tool, FDDQOL has demonstrated concurrent validity. Even if the psychometric quality is good, a consensus panel found it of insufficient methodological quality and practical utility (Wong and Drossman 2010).

In conclusion, FDDQOL can be considered an appropriate method to assess the quality of life in individuals with GI symptoms, provided that its limitations are taken into consideration.

3.1.1.14.2. Irritable bowel syndrome-36. IBS-36 represents an IBS-specific health-related QOL questionnaire designed to be self-administered by subjects suffering from this syndrome. The first version of the questionnaire had 70 items divided into eight domains: daily activities, emotional impact, family relations, food, sleep and fatigue, social impact, sexual relations and symptoms. Subsequently, trough statistical and consensus methodologies, the number of items was reduced to 36. The score is done on a seven-point Likert scale ranging from 0 (symptom never occurred) to 6 (symptom always occurred) corresponding to best and worst quality of life respectively, with a maximum final score of 216. IBS-36 is a retrospective tool, with a recall period of the preceding two months. The questionnaire presents high level of internal consistency and test-retest reliability. IBS-36 allows an evaluation of specific symptoms and areas of the disease that have an impact on the subject’s health-related QOL (Groll et al. 2002). Unlike generic instruments, the disease-specific IBS-36 is not helpful outside the target population of IBS patients for which it was developed. On the other hand, generic health-related QOL questionnaires are not specifically addressed to measure GI symptoms. Thus, they may be insensitive to changes associated with IBS and it is not appropriate to fully capture health-related QOL as OV in patients with IBS before and after an intervention (Wong and Drossman 2010).

In conclusion, IBS-36 questionnaire is an appropriate method to assess health-related quality of life in patients with IBS.

3.1.1.14.3. Short form-36 (SF-36). SF-36 is a generic and short health-related QOL questionnaire which comprises 36 items evaluating nine domains: physical and social functioning (10 and 2 items, respectively), role limitation by physical and emotional problems (4 and 3 items, respectively), mental health (5 items), energy and vitality (4 items), bodily pain (2 items), general perception of health (5 items) and changes in health over the past year. The latter domain is an unscaled single item. The answering options can be dichotomic or relate to three-, five- or six-point Likert scales. For each variable, item scores are coded, summed and transformed into a scale from 0 to 100 corresponding to the worst and the best possible health state measured by the questionnaire, respectively (Jenkinson et al. 1993). SF-36 is a retrospective tool, with a recall period of the preceding four weeks. It is found acceptable by the patients and shows high levels of internal validity and good test-retest properties. The response rate for SF-36 has been found to be different for different age groups. Lower response rates have been reported among people aged 75 years and over with poor physical/mental health scores, because of inability to self-complete the questionnaire. The main reasons are associated with visual impairment or writing difficulties. Furthermore, some questions related to work or physical activity, not specifically developed for these subjects, can be easily missed (Hayes et al. 1995). These aspects should be considered when using this tool that can be potentially useful for measuring health status in medical research. Various forms of SF-36, some of which have not been validated, are currently available.

In conclusion, validated versions of SF-36 are appropriate methods to assess health-related QOL. However, since it is not specifically addressed to measure GI symptoms, it may be insensitive to changes associated to IBS and it is not appropriate to fully capture health-related QOL as OV in patients with IBS before and after an intervention.

3.1.1.14.4. RAND 36-item health survey. The RAND 36-item health survey is a generic health-related QOL instrument widely used in the world. The instrument has eight health domains with a total of 35-item scales: physical and social functioning (10 and 2 items, respectively), role limitations caused by physical health and emotional problems (4 and 3 items, respectively), emotional well-being (5 items), energy/fatigue (4 items), pain (2 items) and general health perception (5 items). Physical and mental health summary scores are derived from these scales. The remaining item assesses change in the perception of health in the last 12 months (Hays and Morales 2001). The RAND survey includes the same items as the SF-36 but uses a two-step process for scoring. Equivalent results are obtained for 6 of the 8 subscales, with different scoring for pain and general health perception scales. Rand questionnaire requires only 7–10 min to be filled. It can be filled by subjects or administered by the investigator during a telephone personal interview.
Questionnaires administered by e-mail are cheaper, but the response rate and completeness are lower than by phone (Hays and Morales 2001).

In conclusion, the RAND-36 item health survey is an appropriate method to measure health-related QOL. However, since it is not specifically addressed to measure GI symptoms, it may be insensitive to changes associated to IBS and it is not appropriate to fully capture health-related QOL as OV in patients with IBS before and after an intervention.

3.1.1.15. Composition of the gut microbiota/bifidobacterial population. The human gut is a natural reservoir for numerous species of microorganisms and contains \( \sim 1 \times 10^{12} \) bacterial cells per g of colonic content. More than 500 bacterial species populate the gut of healthy individuals, with predominance of obligate anaerobes, located mainly in the colon. The dominant phyla are Actinobacteria, Firmicutes, Proteobacteria and Bacteroidetes. The mutualistic relationship between symbionts and commensals, and the diversity and stability of microbiota, are important for the maintenance of health and wellbeing; alterations in this balance or diversity leads to dysbiosis and ultimately to clinical disease expression (Malinen et al. 2005). Humans are colonised at birth and development of microbiota is influenced by many factors, such as type of birth, gestational age, use of antibiotic and feeding. The microbiota evolves during different stages of life (Gareau et al. 2010).

To evaluate the appropriateness of composition of the gut microbiota/bifidobacterial population as OV of reduction of GI discomfort, the literature deriving from database #14 was critically evaluated (Table 1). The gut microbiota ensures normal bowel physiological functions, works as a barrier against pathogens and stimulates the host immune function by releasing different metabolites and chemicals (e.g. butyrate, which is essential for the integrity of the colonic epithelium). Some studies suggest that the gut microbiota could play a role in the pathogenesis of IBS (Collins 2014). Scientific evidence demonstrates that the diversity, stability and metabolic activity of the gut microbiota are compromised in subjects with some diseases (e.g. with inflammatory bowel disease, IBS, obesity, diarrhoea, necrotising enterocolitis) compared to healthy individuals, but little is yet known about the health relevance of individual microbial species or strains. The gut microbiota is also critical for the maturation of the host’s mucosal immune system during early life and this function continues throughout life. Moreover, developmental aspects of the adaptive immune system are influenced by bacterial colonisation of the gut (Gareau et al. 2010).

However, despite the emerging evidence linking the composition of the gut microbiota to GI disease and immune function, changes in the composition of the gut microbiota do not describe a specific function of the body.

In conclusion, the composition of the gut microbiota is not an appropriate OV to be used alone:

- For the scientific substantiation of health claims in the context of reduction of GI discomfort.
- For the scientific substantiation of health claims in the context of maintenance of normal defaecation.

However, changes in the composition of the gut microbiota could be used in support of the mechanisms by which the food/food component may exert these claimed effects.

3.1.1.15.1. 16S rRNA microbial profiling. 16S rRNA microbial profiling is a key tool for studies of microbial communities. The 16S rRNA gene, contained in the nuclear DNA, codifies for the ribosomal RNA which is part of the small subunit of the ribosomes. It represents a molecular marker widely used in bacterial taxonomy because of its conservations despite the evolution of the species. This analysis exploits the recent applications of metagenomics in the field of microbial ecology. Briefly, this method consists of the extraction of bacterial DNA from a biological sample (faeces or intestinal biopsy) and the subsequent amplification of the 16S rRNA gene with an appropriate primer pair. The analysis is completed by sequencing the 16S rRNA gene PCR products corresponding to the microorganisms present in the microbiota. Finally, by the use of bioinformatics tools, it is possible to recognise the exact composition of gut microbiota, identifying also the microorganisms that are not cultivable, and observe changes in the gut microbiota composition (at the level of genera). This validated method is highly reproducible and has a high throughput. However, 16S rRNA microbial profiling of the human gut microbiota is strongly influenced by sample processing and PCR primer choice. Therefore, appropriate primer selection as well as DNA extraction protocols are essential to enable trustworthy representation of the organisms present in an environment, such as the human gut ecosystem (Milani et al. 2013).

In conclusion, 16SrRNA microbial profiling is an appropriate method to assess the composition of the gut microbiota.


### 3.1.15.2. Bifidobacteria ITS profiling
This method exploits the great deal of sequence and length variation of Internal Transcribed Spacer (ITS) regions, which is useful for differentiating species of prokaryotes.

The sequences of the spacer region are comprised between the 16S rRNA and the 23S rRNA genes within the rRNA locus. The method consists of the extraction of bacterial DNA from a biological sample and subsequent amplification of the ITS regions with appropriate primer specific for Bifidobacteria. The analysis is completed by sequencing the amplified regions. With the use of bioinformatics tools, it is possible to recognize the exact composition of Bifidobacteria species. ITS sequence analysis is a useful technique for identifying Bifidobacteria at the species level (Milani et al. 2014).

In conclusion, Bifidobacteria ITS profiling is an appropriate method to assess bifidobacterial population of the gut.

### 3.1.2. Reduction of excessive intestinal gas accumulation

#### 3.1.2.1. Intestinal gas volume
As already introduced in Section 3.1.1.8, the most important gases in the human gut are nitrogen (\(N_2\)), oxygen (\(O_2\)), hydrogen (\(H_2\)), carbon dioxide (\(CO_2\)) and methane (\(CH_4\)). Conversely, hydrogen sulphide (\(H_2S\)), methanethiol (\(CH_3SH\)) and dimethylsulphide (\(CH_3SCH_3\)) are present in trace (1%) and they are responsible for the characteristic unpleasant odour of intestinal gas (Suarez and Levitt 2000). Gas is introduced into the GI tract in several ways. In particular, there are four main mechanisms that deliver gases to the intestinal lumen: (1) air swallowing (\(O_2\) and \(N_2\)); (2) interaction of bicarbonate and acid (\(CO_2\)); (3) diffusion from the blood (\(CO_2\), \(N_2\) and \(O_2\)); and (4) bacterial metabolism (\(CO_2\), \(H_2\), \(CH_4\) and sulphur-containing gases). These gases are then eliminated from the gut through oesophagus (belching) or anus (flatulence), or diffusion into the blood. The set of these processes determines the volume and mean composition of the entire GI gas.

To evaluate the appropriateness of intestinal gas volume as OV of reduction of GI discomfort, the literature deriving from database #15 was critically evaluated (Table 1).

In the fasting state, the healthy GI tract contains about 100 ml of gas (mean of 100 ml, maximum of 200 ml). The volume of gas increases by about 65% during the postprandial period, primarily in the pelvic colon, with no significant gas accumulation in other gut compartments (Pritchard et al. 2014). Several factors, including GI and non-GI diseases, dietary habits and side effects of various drugs, may lead to an accumulation of intestinal gas increasing its volume. For these reasons, a strong correlation between gas accumulation and gas volume can be observed, as a reduction of the former leads to the decrease of the latter.

Furthermore, the excessive volume of intestinal gas can be the cause of bloating and distension, but this link has not been yet ascertained. In fact, the only available results suggest that increased gas volume may not be the main mechanism of bloating, but rather impaired gas transit or distribution are more often the cause of this problem (Suarez and Levitt 2000).

In conclusion, the measurement of the intestinal gas volume is an appropriate OV for the scientific substantiation of health claims regarding the reduction of excessive intestinal gas accumulation. Moreover, this OV is appropriate for the scientific substantiation of health claims in the context of the reduction of GI discomfort in children.

#### 3.1.2.1.1. Magnetic resonance imaging
Magnetic resonance imaging (MRI) is a highly sophisticated and most costly technique, now extensively used in body composition research and it is able to measure intestinal gas volume (Pritchard et al. 2014). In fact, some data suggest the potential use of MRI to estimate the amount of gas in the gut (proving an excellent accuracy in the evaluation of intestinal gas volume), which represents a crucial issue in patients with IBS and other GI disorders with abnormal gas dynamics (Lam et al. 2017).

Additionally, MRI may facilitate assessment of the effect of drugs on gas production and transit within the gut. MRI process requires a magnet, usually a superconducting one, a magnetic field gradient system for signal localisation and a radio frequency system, which is used for signal generation and processing. The array data provided by MRI, as well as other imaging techniques, shows the spatial distribution of physical quantities and gas appears as signal void within the bowel. There are multiple methods to determine gas volume with MRI, including extrapolation of single-slice or multiple-slice acquisitions from both selected regions of the body or the whole-body measurements. Since this is a time-consuming technique, single-slice imaging is often chosen, in spite of being less accurate. However, whole-body scans necessarily need to be acquired as a series of stacks and then integrated. Currently, the accuracy of MRI can be limited by the size pixels (\(2 \text{mm} \times 2 \text{mm}\)) employed in bowel scan, as well as by the image distortion deriving from the use of images obtained with
multi-slice technique. A commonly used approach is manual or semi-automated analysis of time-intensive T1-weighted images. Measurements are operator-dependent in case of manual input. The majority of the automated validated procedures have dealt with the assessment of adult subjects. Due to reduced compliance of children with the MRI technique, which requires small movements and sometimes breath holding, measurements in these subjects are rather complicated. Additional reasons for reduced accuracy in children are their small body size. Moreover, this procedure is safe because it does not expose subjects to ionising radiations. However, MRI might not be a suitable method for routine fieldwork in large-scale studies and its limitations are mainly due to costs.

In summary, MRI is an appropriate method to assess intestinal gas volume.

3.1.2.2. Hydrogen breath concentration. The colonic microbiota contains more than bacterial species and plays an important role in human digestive physiology. Most of these microorganisms are saccharolytic and the products of fermentation of dietary carbohydrates are mainly short-chain fatty acids (acetic, propionic and butyric acid) and gases (CO2, CH4 and H2) (Perman et al. 1984). In particular, hydrogen gas (H2) is produced in the lumen of the GI tract. This gas either passes as flatus or diffuses into the body and is exhaled. In fact, some of the hydrogen produced by the bacteria, whether in the small intestine or the colon, is absorbed into the blood flowing through the wall of the small intestine and colon. The hydrogen-containing blood travels to the lungs where the hydrogen is released and exhaled in the breath where it can be measured.

To evaluate the appropriateness of hydrogen breath concentration as OV of reduction of GI discomfort, the literature deriving from database #16 was critically evaluated (Table 1).

The bowel contains an enormous number of bacteria that are predominantly anaerobes and produce a large quantity of gases, mainly hydrogen (Rana and Malik 2014). The hydrogen generated in the intestine is absorbed into the portal circulation and excreted in breath. There is strong evidence that the exhaled hydrogen indicates the quantity and the metabolic activity of anaerobic bacteria in the intestine. Although gas accumulation is one of the major symptoms of GI discomfort (it causes pain and bloating), both in adults and in children, the use of breath H2 tests to evaluate intestinal gas accumulation has limited specificity and sensitivity.

Furthermore, the measurements of breath H2 to detect carbohydrate malabsorption are widely used in clinical medicine. In particular, for the diagnosis of fructose or lactose malabsorption, lactose maligestion (reduced enzymatic capacity to digest lactose) as well as for the detection of small intestinal bacterial overgrowth syndrome, the hydrogen breath level is widely measured because is considered to be the most reliable outcome, provided the suitable substrates (e.g. lactose for evaluating lactose maligestion) are used.

However, about 15–30% people are considered non-H2 producers because of the presence of Methanobrevibacter smithii in their gut microbiota (Mathur et al. 2013). Since it metabolises four atoms of hydrogen to form one molecule of methane, an increase in H2 levels in breath is not observed. In these patients, it is necessary to carry out a lactulose test. If a lactulose load still does not produce an increase in H2 levels, the subject is very likely to be a non-H2 producer.

In conclusion:

- The levels of breath hydrogen are not an appropriate OV to be used alone for the scientific substantiation of health claims related to the reduction of excessive intestinal gas accumulation (generally leads to a reduction in GI discomfort), but it can be used as supportive evidence.
- The levels of breath hydrogen are an appropriate OV for the scientific substantiation of health claims in the context of improving lactose digestion, provided that is performed by appropriate techniques for a correct evaluation.
- The levels of breath hydrogen is not an appropriate OV to be used alone for the scientific substantiation of health claims related to the reduction of GI discomfort in children, but it can be used as supportive evidence.
3.1.2.2.1. Hydrogen breath test. Hydrogen breath test is a method that uses the measurement of H₂ in the breath to diagnose several conditions that cause GI symptoms. It is based on the physiological fact that healthy humans when are fasting and at rest do not exhale H₂. Hydrogen breath test is used in the diagnosis of carbohydrates malabsorption, SIBO and to assess the orocecal transit time (OCTT) (Rana and Malik 2014). The breath test is preceded by a fasting period of 12 h; then, the test starts with the blowing into a balloon, which allows the quantification of the basal H₂. The patient then ingests a small amount of the test sugar (lactose, sucrose, sorbitol, fructose, lactulose, etc., depending on the purpose of the test). While glucose hydrogen breath test is more specific for SIBO diagnosis, lactose and fructose hydrogen breath tests are used for lactose and fructose malabsorption diagnosis, respectively. Lactulose hydrogen breath test is also widely used to measure the OCTT for GI motility. Every 15 min, for up to 5 h, H₂ is measured and, in general, an increase in H₂ concentrations of more than 20 ppm above the basal value is considered to be a positive test result. In certain people, it is possible to obtain false-negative results, due to the inability of colonic flora to produce H₂ (non-H₂-producer), or after a recent use of antibiotics or due to a longer orocecal transit time. A more precise diagnosis of non-H₂-production may be done by performing a lactulose test and, if a slow transit time is suspected, it is recommended to do additional readings and extend the test.

False-positive breath tests are less frequent and are mainly due to small bowel bacterial overgrowth or abnormal oral microbiota; for this is recommended brush the teeth prior the test.

Although some problems, adopting precautions and following precise guidelines for the interpretation of the results may help to improve the quality and reliability of the test. The lactulose hydrogen breath test is non-invasive, low cost and it can be applied both in adults and children (except for sorbitol and xylitol tests). For the diagnosis of fructose or lactose malabsorption and SIBO, hydrogen breath test is considered the gold standard. Moreover, the lactulose hydrogen breath test allows accurate measurement of OCTT if a hydrogen threshold increment of 5 ppm is chosen.

In conclusion:

- The hydrogen breath test is the most appropriate method for evaluating level of hydrogen in breath, in both adults and children.
- The hydrogen breath test is an appropriate method for evaluating intestinal transit time.

3.1.3. Maintenance of normal defaecation

3.1.3.1. Stool frequency. See Section 3.1.1.13

3.1.3.1.1. Questionnaire. See Section 3.1.1.13.1

3.1.3.1.2. Diary. Diaries are a method developed for minimising recall bias and capture experiences close to the time of occurrence, with the aim of evaluating several endpoints. The main advantage of these prospective tools is that they are not affected by the memory, differently from retrospective methods (e.g. recall), and this can be particularly important for the elderlies (Lackner et al. 2014). However, a major problem of diaries is poor adherence in those patients who failed to complete them or complete them retrospectively. For these reasons, there are concerns about compliance of paper diaries (McColl 2004). However, an electronic device with reminder alarm can improve adherence.

In conclusion, electronic diary and not paper diary can be an appropriate method to assess stool frequency, as well as other GI symptoms.

3.1.3.2. Stool consistency. See Section 3.1.1.11

3.1.3.2.1. Bristol stool scale. See Section 3.1.1.11.1

3.1.3.3. Stool weight/volume/size. The term “faeces” means the remaining material after food is digested along with water, bacteria and other substances secreted into the GI tract. The description of faeces can be made using several variables, among which stool weight (Myo et al. 1994). Stool weight depends mainly on the presence of water, bacteria and fibre in the faeces. About 75% of faecal weight is made up of unabsorbed water (contributing to wet faecal weight). The remaining 25% is composed of solid matter that contains principally bacteria (responsible for half of the dry faecal weight) as well as undigested fibre and solidified components of digestive juices, fat, inorganic matter and protein. Indicatively, people who consume fibre-rich diets excrete up to 400 g of stools daily.

To evaluate the appropriateness of stool/weight/size as OV of maintenance of normal defaecation, the literature deriving from database #11 was critically evaluated (Table 1).

In a healthy subject, diet quality and quantity are an important determinant of stool weight, as, for example, a diet rich in fibre can provide an increase in the daily stool weight, while it can be reduced by a diet rich in fat (Cummings 2001). Other factors able to affect stool weight are sex, ethnicity and body weight (Rose et al. 2015). Furthermore, stool weight varies markedly among different populations, being...
relatively low in developed countries and also depends on ethnicity and dietary habits. Besides all this, it is not known if the stool weight can be a valid parameter to evaluate the severity of various discomforts associated with bowel movements and there are limited data on stool weight among healthy subjects.

In conclusion:

- Stool weight/volume/size is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of maintenance of normal defaecation. However, it can be used as supportive evidence to substantiate such health claims.
- Stool weight/volume/size is not an appropriate OV to be used for the scientific substantiation of claim in the context of softening of stools in children.

3.1.3.3.1. Direct assessment by the investigators. The best method to evaluate stool weight is the weight performed by researchers using a laboratory scale. Hygiene pads are usually used for collection of hard stool, while stool collectors are used in case of watery or loose stools. The faecal material is then transferred in pre-weighed buckets and weighed on a laboratory balance. The balance need to be calibrated and suitable for use (analytical balance). It is accepted a minimal leakage of faeces that is the soiling on the toilet paper.

The stool can be stored at 4°C for 1 d before the weighing.

In conclusion, the direct assessment by the investigators represents an appropriate method to evaluate stool weight/volume/size.

3.1.3.4. Intestinal transit time. Intestinal motility is a critical process underlying the major functions of the bowel such as storage, absorption, propulsion and defaecation. Disorders of colonic motility typically occur with constipation or diarrhoea. Intestinal transit time is useful in evaluating intestinal motility since it represents the length of time taken by food to move through the digestive tract (Spiller 1994). Once food is chewed and swallowed, it moves to the stomach, where it is mixed with acid and digestive enzymes. Subsequently, the food is squeezed through the small intestine, where nutrients are absorbed. The food then moves to the colon: here undigested and unabsorbed food from the small intestine combine with bacteria for the colic fermentation and digestion. After this last passage, together with other waste products, stools are formed, and they are ready to be expelled through the anus.

To evaluate the appropriateness of intestinal transit time as OV of maintenance of normal defaecation, the literature deriving from database #17 was critically evaluated (Table 1).

Disturbances in motility and transit are common in functional GI disorders such as irritable bowel syndrome, functional dyspepsia, gastroparesis, bloating or chronic idiopathic constipation (Kusano et al. 2014). One of the main drawbacks of the diagnosis is the difficulty in understanding of which GI region is affected because of the symptoms, which are in common with several other discomforts. However, the assessment of transit through the GI tract provides useful information regarding gut physiology and pathophysiology and allows to evaluate the severity of the problem and help in formulating the diagnosis and the prognosis. The ideal intestinal transit time is from 12 to 24 h. When these times are exceeded, risk of diverticulosis and candidiasis as well as inflammation and cancer are increased. Furthermore, toxins and wastes may be driven back into the bloodstream, causing, headaches, gas, bloating, acne, allergies, muscle and joint pain. On the contrary, a GI transit time shorter than 10 h may counteract the normal absorption of nutrients from food. Thus, besides nutritional deficiencies, electrolyte imbalances, anaemia and osteoporosis may occur. However, bowel transit time is also influenced by the type of food eaten, hydration, the amount of dietary fibre, and exercise. For example, people who eat high amounts of fruits, vegetables and whole grains tend to have a shorter transit time than those who eat mostly sugars and starches. Certain medications (e.g. cold medicines, iron or medicine used to control blood pressure and pain) and several diseases (e.g. hypothyroidism, diabetes or Hirschsprung’s disease) can also affect transit time contributing to constipation or loose stools (Tack and Janssen 2010). Furthermore, similar to what already mentioned for stool weight, ethnicity and dietary habits play an important role in determining the intestinal transit time. The methods for the measurement and standardised protocols for one population may not be applicable to another population. Intestinal transit time should be standardised and validated for the individual population. As different people have different transit times depending on several factors, intestinal transit time testing is not recommended to evaluate bowel habits.

In conclusion, intestinal transit time is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of maintenance of normal defaecation, but it can be used as
supportive of the mechanisms by which the food/food component may exert the claimed effect.

3.1.3.4.1. *ROM technique.* The use of radio-opaque markers (ROM), followed by abdominal X-rays, is a method used to measure total and segmented CTT (colonic transit time) and WGTT (whole-gut transit time) (Ghoshal et al. 2007). This is a quantitative method where radio-opaque pellets are used as probes. This technique has the advantage that the probe can be detected by taking serial radiographs of the abdomen/stools and these pellets are easy to take (swallow with a drink). Following the disappearance of the markers from the gut or the appearance of the markers in the stool by radiographs is required to evaluate transit time. ROM have a well-established role in distinguishing between patients with normal and those with slow intestinal transit, but in the latter group their accuracy in defining the region of delay has not been established, especially if no frequent radiographs are performed. In contrast, daily radiographs involve a high dose of radioactivity (van der Sijp et al. 1993). Intrinsic drawbacks of the ROM test include radiation exposure (especially for children and patients in child-bearing age), inability to assess regional gut transit, and lack of standardised protocols for the test/interpretation. Also, although some protocols require multiple visits which affect compliance, the ROM technique is commonly used for measuring colonic transit and is often used as gold standard, even if no frequent radiographs are performed. In contrast, daily radiographs involve a high dose of radioactivity (van der Sijp et al. 1993). Intrinsic drawbacks of the ROM test include radiation exposure (especially for children and patients in child-bearing age), inability to assess regional gut transit, and lack of standardised protocols for the test/interpretation. Also, although some protocols require multiple visits which affect compliance, the ROM technique is commonly used for measuring colonic transit and is often used as gold standard, even if there is no universally accepted or standardised technique for assessing CTT and WGTT. However, the measure of transit time by ROM can be performed with reasonable accuracy by administration of 10–12 radiopaque markers daily for 6 d, followed by made a radiography on day 7. Following this procedure, this method can be recommended in clinical practice and in research.

In conclusion, ROM technique may be an appropriate method to assess intestinal transit time.

3.1.3.4.2. *SST with coloured plastic pellets.* A method for the assessment of intestinal transit time is the single stool transit (SST) with the use of coloured plastic pellets (Stevens et al. 1987). These markers must be in different colours and are 3–4 mm in length and 1 mm in diameter and have a specific gravity of about 1.3. These pellets (about 100 markers/d, 20 for each colour) are administered for 3 d, though 6 d is better. The pellets are recovered from the stool by visual inspection and sample number one is the first stool passed 3 h after the last dosing. Although it is non-invasive, this method has several limitations, including the inability to monitor pellet transit through the intestinal tract and the possibility of not recovering all the pellets due to errors in sifting the faeces.

In conclusion, SST with coloured plastic pellets is not an appropriate method to assess intestinal transit time.

3.1.3.4.3. *Hydrogen breath test.* See Section 3.1.2.2.1

3.1.3.5. *Diarrhoea.* See Section 3.1.1.12

3.1.3.5.1. *Questionnaire.* See Section 3.1.1.12.1

3.1.3.6. *Bloating.* See Section 3.1.1.3

3.1.3.6.1. *Questionnaire.* See Section 3.1.1.3.1

3.1.3.7. *Borborygmi.* See Section 3.1.1.5

3.1.3.7.1. *Questionnaire.* See Section 3.1.1.5.1

3.1.3.8. *Flatulence.* See Section 3.1.1.8

3.1.3.8.1. *Questionnaire.* See Section 3.1.1.8.1

3.1.3.9. *Abdominal pain/cramps.* See Section 3.1.1.2

3.1.3.9.1. *Visual analogue scale.* See Section 3.1.1.2.1

3.1.3.9.2. *Questionnaire.* See Section 3.1.1.2.2

3.1.3.10. *Faecal bacterial mass.* As already mentioned in Section 3.1.3.3, faeces are composed of unfermented fibre, salts, water and bacteria. The number of bacteria (mostly anaerobes) in human faeces, estimated from direct microscopic counts, is between 1011 and 1012 per g of dry faeces. It is estimated that 25% of wet stool weight and 50–70% of dry stool weight (bacteria are about 80% water) come from bacterial mass, and that dietary fibre acts as a substrate for this mass (Stephen and Cummings 1980).

To evaluate the appropriateness of faecal bacterial mass as OV of maintenance of normal defaecation, the literature deriving from database #18 was critically evaluated (Table 1).

The large number of bacteria in stools indicates that bacterial growth has a dominating effect on total stool output. One of the factors influencing bacterial growth is diet. A major role of dietary component, in particular fibre, is to provide a substrate for fermentation by the microflora in the colon (Forsum et al. 1990). The result is to stimulate microbial growth and a greater excretion of microbial products in faeces. This leads to an increase in bacterial mass and consequently faecal mass, thus having a stool bulking effect. Increased bulk in the colon due to microbial proliferation decreases transit time. Furthermore, the presence
of a high number of bacteria in faeces leads to an increase in gas production (carbon dioxide, hydrogen and methane) trapped in stool resulting in an increase in faecal bulk. The bulking effect induces a decrease in transit time.

Faecal bacterial mass does not represent a parameter directly correlated with the maintenance of normal defaecation, but modifications leading to changes in variables, such as stool weight or transit time (bowel habits), may represent a problem for the maintenance of normal defaecation, when compared to those of a normal situation.

In conclusion, the measurement of faecal bacterial mass is not an appropriate OV to be used for the scientific substantiation of health claims in the context of maintenance of normal defaecation. However, it can be used to support the postulated mechanisms by which the food/food component exerts the claimed effect.

3.1.3.10.1. Gravimetric procedure. Stephen and Cummings have developed an accurate method to assess faecal bacterial mass, named as gravimetric procedure (Stephen and Cummings 1980). This method consists in separating the microbial fraction from the other faecal material, through the fractioning of faeces into three main components: bacteria, undigested fibre and soluble substances. Then, these fractions are weighted. The procedure has been developed from techniques used to isolate microbial matter from the rumen, with several altered (initial stomaching and filtering procedures in the presence of detergent) or omitted steps to improve the separation of bacteria from fibrous debris and to ensure the purity of the bacterial fraction (Hoogenraad and Hird 1970). By this method, it is possible to obtain a direct estimate of the microbial contribution to the weight of the stool. The validation of effectiveness of the fractionation scheme was conducted in several studies by monitoring the location of muramic acid, an amino sugar found only in bacteria and conducting numerous bacterial counts, using stains specific for plant material, and measuring neutral sugars in wheat bran fibre. However, this method is time consuming because repeated washings and centrifugations are necessary to ensure a good separation of bacteria from other structural material in the stool.

In conclusion, gravimetric procedure is an appropriate method to assess faecal bacterial mass.

3.1.3.11. Composition of the gut microbiota/bifidobacterial population. See Section 3.1.1.15

3.1.3.11.1. 16S rRNA microbial profiling. See Section 3.1.1.15.1

3.1.3.11.2. Bifidobacterial ITS profiling. See Section 3.1.1.15.2

3.1.3.12. Quality of life. See Section 3.1.1.14

3.1.3.12.1. Functional digestive disorders quality of life questionnaire. See Section 3.1.1.14.1

3.1.3.13. Constipation. See Section 3.1.1.10

3.1.3.13.1. Patient assessment of constipation. See Section 3.1.1.10.1

3.1.3.14. Sensation of complete/incomplete evacuation. See Section 3.1.1.6

3.1.3.14.1. Questionnaire. See Section 3.1.1.6.1

3.1.4. Improvement of iron absorption

3.1.4.1. Non-haem iron absorption. Iron is a mineral naturally present in many foods and can be added to some food products, or used as a dietary supplement, inasmuch an adequate iron intake is essential for good health. In fact, iron is required for the functioning of proteins, such as haemoglobin (60%), myoglobin (5%), and for various enzymes involved in immune system functioning (5%). The remaining iron is found in body storage as ferritin (20%) and hemosiderin (10%), whereas a minor quantity (<0.1%) is found as a transit chelate with transferrin. Dietary iron is present in two forms: as inorganic iron (ferrous and ferric compounds or non-haem iron) or organic forms (haem iron). Its availability is altered by many aspects, such as diet-related factors, including chemical forms of the nutrient, the type of cooking and processing of food, the presence of enhancers and inhibitors of iron absorption, as well as host-related factors like life-stage, nutritional and health status (Wienk et al. 1999). The inorganic iron is the predominant form of iron from vegetables and accounts for 80–90% of the iron in a standard diet, with the remaining 10% as haem iron. The latter derives primarily from haemoglobin and myoglobin, thus it is mainly associated with meat intake.

The iron balance is primarily regulated by controlling iron absorption and an imbalance of this mineral leads to nutritional deficiency or overload. Iron deficiency is the single most prevalent nutritional deficiency worldwide and leads to anaemia (http://www.who.int/nutrition/topics/ida/en/). Symptoms frequently associated with anaemia include pallor, weakness,
fatigue, dyspnoea, palpitations, sensitivity to cold, oral cavity and GI tract abnormalities, and reduced capacity for work. In case of overload, iron is toxic and it is able to catalyse the formation of ROS.

To evaluate the appropriateness of non-haem iron absorption as OV of improvement of iron absorption, the literature deriving from database #19 was critically evaluated (Table 1).

Body iron concentration is kept within defined limits through precise mechanisms governing the regulation of iron homeostasis; in particular, the iron amount in the body is determined by the regulation of iron absorption in the proximal small intestine.

Despite its relative scarcity, haem iron is absorbed far more efficiently than non-haem iron and may contribute up to 50% of the iron that actually enters the body. In fact, the bioavailability of ferrous iron (Fe²⁺) is somewhat higher than that of ferric iron (Fe³⁺), but haem iron is more efficiently absorbed than non-haem iron (Wienk et al. 1999). The amount of non-haem iron is strongly regulated by the intestinal mucosa (ferritin and then transferrin) to help assure that the total body amount of iron is within an acceptable range. In contrast, haem iron absorption is not strongly regulated, and its absorption is not limited by the iron absorption control mechanism of the intestine. However, it is generally accepted that only soluble iron can be absorbed (Abbaspour et al. 2014). Soluble iron can be either in the ferric or in the ferrous form (non-haem iron), and it explains why all studies regarding iron solubility deal with non-haem iron.

In conclusion, the evaluation of non-haem iron absorption is an appropriate OV for the scientific substantiation of health claims in the context of improvement of iron absorption.

3.1.4.1.1. Double isotope technique. The determination of the amount of dietary mineral absorbed and retained by consuming diets characterised by different intakes represents a valid approach in order to assess their human requirements. Several methods can be employed for this purpose, including, radioactive, stable isotope techniques or measurements using native iron.

Double isotope technique can be performed using both radioisotope and stable isotope (Kastenmayer et al. 1994). This technique can be obtained by injecting one isotope (⁵⁵Fe radioisotope or ⁵⁸Fe stable isotope) intravenously and giving the other (⁵⁹Fe radioisotope or ⁵⁷Fe stable isotope) orally, at the same time. The first isotope is used to determine the percentage of plasma iron used for haemoglobin synthesis. The isotopes are administered on consecutive days and enrichment of erythrocyte haemoglobin is measured 14 d after administration by transmuting stable isotope to radioisotopes by neutron activation analysis, or directly by mass spectrometry (if stable isotopes are used) or by electroplating (for radioisotope).

Corrections for the natural abundance of the stable isotope have to be always performed. The use of two isotopes allows for correction of variations in iron clearance. Moreover, this method was validated against a well-accepted radioisotope and whole-body counting method even though limited by the cost of the isotopes and the detection equipment.

Mainly in studies to perform in children and pregnant women, it is preferable to apply stable isotope techniques, owing to the advantages provided in comparison to other methods. Among these, it is possible to highlight their relatively more safety because of the lack of radioactive wastes.

In conclusion, double isotope technique represents an appropriate method to assess iron absorption.

3.1.4.1.2. Whole-body counting. Whole-body counting is a direct and possibly the most reliable measure of iron retention (Price et al. 1962). In this method ⁵⁹Fe (radioisotope that emits γ-rays) is given by mouth, and shortly afterwards the amount given is determined by external whole-body counting of radioactivity. After 10–14 d, when unabsorbed iron has been excreted, the amount of iron retained is determined by a further external whole-body measurement. Whole-body counting has the disadvantage of causing radiation exposure. Furthermore, the apparatus is expensive, and the patient has to attend daily for counting. However, owing to its relative simplicity and repeatability, it is generally accepted as the reference method for iron absorption (Fairweather-Tait 2001). However, in studies to perform in children and pregnant women, it is preferable to apply methods that use stable isotope techniques.

In conclusion, whole-body counting represents an appropriate method to assess iron absorption.

3.1.5. Improvement of lactose digestion

3.1.5.1 Hydrogen breath concentration. See Section 3.1.2.2

3.1.5.1.1. Hydrogen breath test. See Section 3.1.2.2.1

3.1.5.2. Nausea. Nausea is an unpleasant symptom associated with different types of diseases and particular life conditions. Several causes lead to nausea
(Linklater 2014) and generally, they related to GI (i.e. gastroparesis, gastric distension and constipation), blood-borne (drugs and toxins) and vestibular (disruption of the inner ear often initiated by motion) factors. In addition, physiological states like pregnancy, or other conditions (e.g. infections, migraine headaches, motion sickness, food poisoning, cancer chemotherapy or other medicines) are often accompanied by nausea. It is an uneasy feeling in the stomach often accompanied by vomiting. The sensation of nausea reduces the quality of life and, even if not painful, is a very uncomfortable feeling that is felt in the chest, upper abdomen, or back of the throat. In some cases, nausea can be considered a reflex with a protective function that helps the body in reducing the digestion and absorption of ingested poisons, toxins or other substances that may be harmful for the health. Nausea may occur in acute and short-lived forms or chronically depending on the pathogenesis. In the latter case, nausea is to be considered debilitating. Females, non-smokers and with history of motion sickness or post-operative disorders are most affected by nausea (about 30% of cases).

To evaluate the appropriateness of nausea as OV of improvement of lactose digestion, the literature deriving from database #20 was critically evaluated (Table 1).

Patients suffering from food allergy or food intolerances may have nausea, a symptom frequently difficult to describe for people. Therefore, food plays an important pathophysiological and therapeutic role (dietetic therapy for reducing sensation of nausea) for this symptom (Welliver 2013).

The most common form of food intolerance is lactose intolerance, which can trigger nausea. This disorder is characterised by a malassimilation of lactose that is therefore processed by colonic bacteria resulting in gas production, which in turn induces GI distension. As a result, osmotic pressure increases in the colon and it accumulates water, leading to GI symptoms such as diarrhoea, flatulence and nausea (Grand and Montgomery 2008).

However, nausea is not always present in patients who suffer from lactose malabsorption. In fact, some studies report diarrhoea, borborygmi, abdominal pain and flatulence as the main symptoms in these subjects, whereas nausea occurs in a low percentage of patients. In the meanwhile, nausea can be associated with other detrimental conditions, such as gastroparesis, during chemotherapy or after anaesthesia, alcohol use disorders and more. For these reasons, nausea is a poor predictor of lactose malabsorption (Welliver 2013).

In conclusion, nausea is not an appropriate OV to be used alone for the scientific substantiation of health claims in the context of improvement of lactose digestion. However, the sensation of nausea can be used as supportive evidence for such health claims.

### 3.1.5.2.1. Questionnaire

Nausea, being a subjective symptom, is difficult to describe, and for this reason a valid measure of nausea is necessary for its assessment. There are different questionnaires that are used for evaluating nausea, but most of them do not take into account the complexity of this symptom. One of the most used is a modified version of the already mentioned MPQ, the McGill Nausea Questionnaire, in which the intensity of nausea is quantified with a VAS and an overall nausea intensity estimated by physicians and nurses on the basis of the patients’ experience of nausea (Melzack et al. 1985). This questionnaire evaluates the experience of nausea itself and not just its frequency, severity, and duration. Although it is used in most studies, it is necessary to use a questionnaire with adjectives specifically designed to measure nausea in order to separate it from other subjective experiences, such as pain. The nausea profile (NP) (Muth et al. 1996) is a questionnaire that characterises multiple dimensions of nausea, not only from a GI experience but also from the somatic and emotional domains. It consists of 17 questions that are divided into three dimensions: somatic, GI and emotional distress. Patients rate each of their symptoms on a scale from 0 (not at all) to 9 (severe). A total score is obtained by averaging the sum of all 17 questions and separate somatic, GI and emotional scores are calculated by the sums of selected questions. NP allows researchers to scale the total nausea experienced, but it is also able to establish a NP, thanks to an individual’s score on each of the three dimensions of nausea. Validity, reliability and sensibility of NP are based on the responses of undergraduates.

In conclusion, NP questionnaire appears to be a reliable and appropriate technique for assessing nausea.

### 3.1.5.3. Diarrhoea

See Section 3.1.1.12

### 3.1.5.3.1. Questionnaire

See Section 3.1.1.12.1

### 3.1.5.4. Abdominal pain/cramps

See Section 3.1.1.2

#### 3.1.5.4.1. Visual analogue scale

See Section 3.1.1.2.1

#### 3.1.5.4.2. Questionnaire

See Section 3.1.1.2.2

### 3.1.5.5. Bloating

See Section 3.1.1.3
3.2. Claims referring to children development and health

3.2.1. Reduction of GI discomfort

3.2.1.1. Crying time and frequency. Crying has a physiologic and neurophysiologic utility, which typically starts in the first few weeks of life and ends at age 4–5 months. Babies survive thanks to their first cry, because this serves as an effective force in the reorganisation of extra uterine cardiorespiratory function. After birth, crying is controlled by physiologic needs, such as hunger, temperature change, desire for attention and discomfort. Infants communicate their need by crying (St James-Roberts 1989). Healthy children cry on average nearly 3 h/d at 6 weeks of age with a peak occurring between 3 and 11 pm.

To evaluate the appropriateness of crying time and frequency as OV of reduction of GI discomfort, the literature deriving from database #21 was critically evaluated (Table 1).

Unexplained and recurrent bouts of crying in young children are often traditionally attributed to GI disturbances and discomfort/pain (Hyman et al. 2006). In particular, the term infant colic is commonly used to reflect this situation in infants. Infant colic is defined as an unexplained crying (excluding other reasons such as hunger, temperature change, desire for attention) of the otherwise healthy infant more than 3 h a day and 3 d a week for at least 3 weeks and it was included in the list of childhood functional GI disorders of the Rome III Coordinating Committee. In addition, dyschezia is a GI disorder characterised by time of crying. In fact, it is defined as straining and crying for at least 10 min before successful passage of soft stools in an infant younger than 6 months of age without any other health problem.

In conclusion, evaluation of crying pattern is an appropriate OV for the scientific substantiation of health claims in the context of reduction of GI discomfort provided that other reasons for crying are excluded.

3.2.1.1.1. Parents’ diary. The help of parents in reporting and interpreting symptoms is needed to assess time and frequency of crying. Parents’ diary is the most widely used tool in studying crying patterns (Barr et al. 1988). A prospective assessment method is more reliable than retrospective one, because the latter is prone to recall bias. A validated 24 h diary (study group was represented by 6-week-old infants), developed by Barr et al., is the best diagnostic method to evaluate crying pattern (frequency and duration). The diary is composed by four “time rulers” each representing 6 h and vertical lines indicate 5 min intervals. The rules must be filled using symbols representing six behaviour patterns: sleeping, awake and content, fussing, crying, feeding and sucking. Episodes of crying for less than one minute are marked above the time rulers. In addition, parents must mark the type of feeding and the time of bowel movements.

Keeping a diary for 24 h for seven or more days requires a high degree of parents’ co-operation. In particular, parents from lower social classes are less likely to participate or return diaries in survey studies and it seems impossible for parents to use this method daily for 12–16 weeks. However, as a compromise, it is possible to use this method during one predetermined day each week. Despite some limitations, these diaries may provide valid and useful reports of crying in the short term.

In conclusion, parents’ diary is an appropriate method to assess crying time and frequency.

3.2.1.2. Abdominal distension. See Section 3.1.1.7

3.2.1.2.1. Parents’ diary. Most estimations of morbidity experienced by children are based on parental interviews/questionnaires or on parental diaries, because it is necessary the help of parents for an appropriate interpretation of symptoms, in particular when it is necessary to assess subjective symptoms (Self et al. 2015). In addition, diaries can be useful in examining health event data when the monitoring of symptoms in children is needed. In general, a prospective assessment method (diary) is more reliable than retrospective one (interview or questionnaire), because the latter is prone to recall bias. However, diaries have intrinsic problems, among which costs (mainly due to the method used to retrieve the diary records from respondents), respondent cooperation (non-perfect diary respondents tend to be younger adults, divorced/separated or never married, low-income, and low-educated) and diary completion. In addition, diary is a more labour-intensive data collection method but it provides more reliable information about symptoms in children than those based on parents’ memory (e.g. interviews). Despite some limitations, parents’ diaries may provide valid and useful reports.

In conclusion, parents’ diary appears an appropriate method to assess diarrhoea, abdominal distention and
pain, stool frequency and stool weight and constipation in children.

3.2.1.2.2. Parental interview. Diseases, discomfort and morbidity in children are assessed on the basis of parental interviews or diaries. The reliability and validity of these methods are difficult to evaluate and there are limitations in both. For parental interview, the main limitation is the telescoping effect. It refers to the temporal displacement of an event: recent events are recalled as happened earlier (backward telescoping) while remote events are perceived as happened more recently (forward telescoping) (Gaskell et al. 2000). In fact, parents tend to over-report events in retrospective data collection methods (parental interview) compared to prospective method (diary or medical records), by which the occurred events are more likely under-reported. Compared to the diary, the use of interview is recommended for low-grade education individuals due to the chance to have questions explained by the trained personnel. Finally, with the interview, it is possible to record trivial symptoms that might be lost with the diary. The use of parental interview for the assessment of different children diseases or discomfort is widespread in field science. Despite there are not sufficiently validated interviews for this purpose, in several cases it is the only method used for this purpose.

In conclusion, parental interview appears an appropriate method for the assessment of abdominal distention.

3.2.1.3. Abdominal pain/cramps. See Section 3.1.1.2
3.2.1.3.1. Parents’ diary. See Section 3.2.1.2.1

3.2.1.4. Diarrhoea. See Section 3.1.1.12
3.2.1.4.1. Parents’ diary. See Section 3.2.1.2.1

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3.2.1.5.1. Parents’ diary. See Section 3.2.1.2.1

3.2.1.6. Hydrogen breath concentration. See Section 3.1.2.2
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3.2.1.7. Intestinal gas volume. See Section 3.1.2.1
3.2.1.7.1. Magnetic resonance imaging. See Section 3.1.2.1.1

3.2.2. Contribution to softening of stools
3.2.2.1. Stool consistency. See Section 3.1.1.11
3.2.2.1.1. Bristol stool scale. See Section 3.1.1.11.1

3.2.2.2. Stool frequency. See Section 3.1.1.13
3.2.2.2.1. Parents’ diary. See Section 3.2.1.2.1

3.2.2.3. Stool weight/volume/size. See Section 3.1.3.3
3.2.2.3.1. Direct assessment by the investigators. See Section 3.1.3.3.1

3.2.2.4. Stool colour. The colour of children stools changes with age. In the early infancy, yellow is predominant in breastfed infants, whereas green coloured stools are occasionally reported in formula-fed infants, probably because of the iron content of the formula (den Hertog et al. 2012). By six months, the commonest stool colour tends to the brown and only in some occasions appears yellow or green. Black stools are uncommon at all ages (except for meconium), although they can be associated with an elevated iron content or to other dietary factors. Other possible stool colours are red and white. In this case, they do not reflect a physiological condition but can be considered as a symptom of, for example, GI bleeding or liver dysfunction, respectively (Bekkali et al. 2009).

To evaluate the appropriateness of stool colour as OV of contribution to softening of stools, the literature deriving from database #11 was critically evaluated (Table 1).

Studies regarding infants ranging from one to three months of age pointed out a significant positive correlation between stool consistency and stool colour, independently of the type of feeding. For example, more lightly coloured stools (i.e. yellow) have been associated with increased fluidity of the stools. Other studies showed how the increased of the brown colour during the children life is probably related to the introduction of solids, which in turn increases stool consistency. However, despite these considerations, the stool colour can vary due to several factors, which might not influence the consistency (den Hertog et al. 2012). For example, red stools are caused by an infection, bleeding or colic polyps, whereas white stools can be a sign of a blockage in the liver. In addition, the intake of certain foods affects stool colour, in particular in children over three months when the diet starts to vary. However, by only considering the range of “normal
colour” (from yellow-brown, excluding white, red and black), stool colour can be an appropriate parameter for evaluating softening of stools if food and drink intakes are recorded.

In conclusion, stool colour can be an appropriate OV for the scientific substantiation of health claims in the context of contribution to softening of stools.

3.2.2.4.1. Parents’ diary. For evaluating stool colour in children, the “Amsterdam” Infant Stool Form Scale”, which provides information concerning stool amount, consistency, and colour, has been developed (Bekkali et al. 2009). In order to classify the colour, six pictures illustrating the following colours are present: yellow, orange, green, brown, meconium and clay-coloured. Beside the colour category, the categories of consistency and amount are also present, each described in the scale by four photographs. In this infant stool form scale, 14 pictures in total are used as visual anchor points. This scale can be used in daily standardised bowel diaries filled by parents. Despite this scale might be helpful in differentiating between normal and abnormal defaecation patterns in infants, future studies are needed to validate its applicability and validity for research purposes.

In conclusion, parents’ diary, as the unique method used for assessing stool colour, is considered appropriate for this purpose.

3.2.3. Increase of calcium absorption

3.2.3.1. Bone mineral content. Bone mineral content (BMC) is a measurement of bone minerals found both in a specific area of the skeleton or in total skeleton system. Up to 50% by volume and 70% by weight of human bone are formed by hydroxyapatite, which is the mineral form of calcium apatite. BMC is expressed in grams (g) of hydroxyapatite and it is used to obtain bone mineral density (BMD), which is measured in grams per centimetre squared (g/cm²), by dividing BMC by the area of the considered site (Ellis et al. 2001). Thus, due to the high association between BMC and BMD, it has been evidenced that also BMC is characterised by a growing phase during the childhood, depending on the availability of calcium and phosphate, with the following achievement of BMC peak during the early adulthood. After reaching peak bone mass, the mineral deposition activity of osteoblasts and the resorption activity of osteoclasts are balanced, leading to a steady state of the total BMC. Then, during adulthood, a constant and progressive imbalance of neo-mineralisation and bone resorption, with prevailing osteoclast activity, causes a loss of BMD, reflecting a diminished BMC with ageing. Progressive loss of BMC results in osteopenia and osteoporosis. BMC, together with BMD and bone size, is widely used in clinical practice for the assessment of the normal growth and development of bone in children. Additionally, by the fact that bone growth depends on hydroxyapatite deposition, BMC reflects calcium bioavailability in human body.

To evaluate the appropriateness of BMC as OV of the increase of calcium absorption, the literature deriving from database #22 was critically evaluated (Table 1).

BMC measurement, with adjustments for changes in body mass and total bone size, is widely performed in clinical practice for the assessment of bone health and mineralisation in children and in adolescents (Ellis et al. 2001; Budek et al. 2007). BMC depends on both the size and density of skeletal bone, and a difference in BMC may reflect a difference in either bone size or bone density. BMC is the preferred OV over BMD because bone expansion and the increase in BMC occur at different rate during childhood. Consequently, BMD calculated as BMC/bone area is not an appropriate ratio to be used in growing children because it is influenced by the bone size (Ellis et al. 2001). Instead, it is well-accepted that bone mineralisation should be assessed in three steps: height for age, bone area for height, and BMC for bone area. In comparative studies, it is important to adapt BMC measurement for age and sex, in order to adjust the heterogeneity in terms of the age- and sex- specific maturation (Ellis et al. 2001). Thus, to combine measurements for children of different ages and to account for the growth-related changes in BMC, z-scores for BMC-for-age and BMC-for-height are calculated based on the healthy reference sample. In addition, because hydroxyapatite is primarily composed of calcium, BMC evaluation is also a useful tool in calcium bioavailability studies, which also allows to analyse the association between dietary intake and bone development and metabolism (Budek et al. 2007).

In conclusion, BMC is an appropriate OV for the scientific substantiation of health claims in the context of increase of calcium absorption in children.

3.2.3.1.1. Dual-energy X-ray absorptiometry. Dual-energy X-ray absorptiometry (DXA), also known as bone densitometry or bone density scanning, can accurately analyse bone and non-bone tissue, providing a quantification of BMD, BMC, fat mass and soft lean mass. It has been validated across age groups, from premature infants to older adults, including both normal and overweight subjects. The use of DXA in infants and children is gradually increasing, with the
aim to understand the impact of disease on bone health or nutritional impact on body composition. Indeed, DXA is also a useful tool for assessing the whole skeletal maturity, the body fat composition. Moreover, it is used for evaluating the efficacy of pharmaceutical therapy. DXA is a peculiar imaging modality which differs from other X-ray systems because requires special beam filtering and near perfect spatial registration of two attenuations. Indeed, DXA system creates a two-dimension image resulting from the combination of low and high energy attenuations. Although density is typically given by mass per volume unit, DXA can only quantify the bone density as a mass per area unit, since it uses planar images and cannot measure the bone depth. By the fact that a two-dimensional output is given, DXA-based bone mass cannot distinguish between bone compartments, namely cortical and trabecular bone (Nilsson 2015). For these reasons, DXA measurement can be integrated with additional 3D outputs from different technologies, as quantitative computed tomography (QCT). Nevertheless, it is regarded as safe, with a minimal radiation exposure (0.1 μGy), relatively fast (6–7 min for total body assessment) and highly reproducible (Deng et al. 2002). On the other hand, DEXA is expensive and requires specific skills. Whole-body DXA scans are primarily used for BMC measurements in children (Budek et al. 2007) and for body composition measurements in adults, while several common measurement sites, including the lumbar spine, the proximal hip and the forearm, are preferred when measuring BMD. For the setup of RCTs, DXA measurement should be performed at baseline and then not earlier than 12 months, which is considered the most appropriate follow-up interval to detect (if any) significant changes in BMD and/or BMC.

In summary, DXA is generally an appropriate method to assess BMD and BMC, in human intervention studies.

3.2.3.1.2. Single photon absorptiometry. In the early 1960s, a new method for bone densitometry, called single photon absorptiometry (SPA), was developed to overcome the problems of previous radiographic photodensitometric techniques caused by polychromatic X-rays and non-uniform film sensitivity. Indeed, SPA technique uses a single energy gamma-ray source ($^{125}$I) photon energy, and a scintillation detector to measure the single-energy photon beam passage through bone and soft tissue. The distal radius (wrist) is usually used as the site of measurement because the amount of soft tissue in this area is small. Changes in beam intensity are due to the attenuation of bone mineral and the integrated attenuation is proportional to the mass of mineral in the scan path, whose length is proportional to the width of the bone. Even if SPA has been widely used in the past for the assessment of bone mineral density and content (Neer 1992), it is outdated and nowadays it has been replaced by other densitometry techniques, such as dual photon absorptiometry and DXA, which have greater accuracy and are capable of measuring central skeletal sites. In fact, the radionuclide source ($^{125}$I) emits an average energy of 27 keV, which is sufficient for the BMC measurement of appendicular bones but not for that of central skeletal sites. Other limitations are represented by the use of radionuclides, which gradually decay and require regular replacement, and by the scanning time (15–30 min), which is considerable because of the low rate of photon flux. With the low scanning, undesirable drawbacks might occur, such as the patient moving during the scan leading to poor quality of the scan image and so limiting the reproducibility. Moreover, SPA method can compensate for variation in bone width but not for variation in bone thickness. The reproducibility of the measurement, therefore, depends upon the ability to reproduce exactly the location of the measurement. For this reason, it is necessary to control the stillness and the pronation/supination of the bone site (generally the forearm), since rotation alters the photon beam path (Neer 1992).

In summary, even if it was a widely used bone densitometric technique, SPA is not an appropriate method to assess BMC.

3.2.3.2. Bone mineral density. Bone mass is considered a synonym of BMD and, based on the evaluation methodology, bone mass accounts for the sum of two components: (i) areal BMD, which is a two-dimensional measurement, expressed in g/cm$^2$, usually obtained through DXA scans, and (ii) volumetric BMD, expressed in g/cm$^3$, which is a 3D measure given by QCT. Volumetric BMD can discriminate between cortical and trabecular bone, thus emerging as qualitative and not only quantitative medical tool. Physiologically, BMD reaches its peak in the early adulthood both in males and females and subsequently declines with ages from the fifth decade (Rizzoli 2014), even if lifestyle (e.g. cigarette smoking, excessive alcohol consumption and prolonged immobilisation) or genetic factors can accelerate this process. On the opposite, bone mass increases in response to increased mechanical stimuli (e.g. physical activity and gravity), that are able to at least maintain bone homeostasis. Bone mass is also influenced by ethnic differences and sex (Curtis et al. 2015).
To evaluate the appropriateness of BMD as OV of the increase of calcium absorption, the literature deriving from database #22 was critically evaluated (Table 1).

Bone is a composite tissue made up of an organic collagen protein and inorganic mineral (hydroxyapatite). BMD (g/cm² or BMC/bone area) is a measure of bone density and, consequently, it provides an estimate of stored calcium in bone tissue. However, if BMD is used to compare bone of different size and thickness differences, it can be incorrectly interpreted (Carter et al. 1992). Furthermore, an important factor to be considered for the assessment of BMD is that BMC not always correlates to bone area. This is because their relationship depends on different factors, including the population group, the body size, the skeletal site, as well as the instrumental and scanning conditions (Prentice et al. 1994). This may lead to erroneous results regarding other size-related variables of bones such as calcium intake. In particular, BMC is the preferred OV over BMD in children because bone expansion and the increase in BMC occurs at different rate during childhood. Consequently, BMD calculated as BMC/bone area is not an appropriate ratio to be used in growing children because it is influenced by bone size.

BMD values are expressed as t- and z-scores. In adult, the World Health Organisation (WHO) criterion for diagnosing osteoporosis is based on BMD t-scores, defined as the standard deviation (SD) score of the observed BMD compared with that of a normal young adult. However, due to the above-mentioned reasons, t-scores are not appropriate for growing children and should not be used. The use of the z-score, defined as the SD score based on age-specific and sex-specific norms, is considered a more appropriate method of comparison of BMD in children. If the z-score is below −2.0, the International Society of Clinical Densitometry recommends the use of the terminology “low bone density for chronological age” (Lewiecki et al. 2004).

In conclusion, BMD is not an appropriate parameter for the scientific substantiation of health claims in the context of increase of calcium absorption in children.

3.2.3.2.1. Dual-energy X-ray absorptiometry. See Section 3.2.3.1.1

3.2.3.3. Calcium balance. Calcium balance is generally defined as the difference between its dietary intake and excretion (faecal and urinary). Consequently, it can be positive, negative or neutral. Ca is involved in several physiological functions, including bone growth, nerve conduction, muscle contraction and blood coagulation. Approximately 99% of total body Ca is contained in bones, whereas the remaining fraction is within extracellular fluids and soft tissue (Hsu and Levine 2004). Calcium metabolism is also affected by parathyroid hormone, 1,25-dihydroxy-vitamin D (1,25-D) and calcitonin. These three hormones act together in order to maintain serum Ca concentration at nearly constant values, directly conditioning intestinal Ca absorption, renal re-absorption, Ca excretion and utilisation of Ca in the bone (Bass and Chan 2006).

To evaluate the appropriateness of calcium balance as OV of the increase of calcium absorption, the literature deriving from database #23 was critically evaluated (Table 1).

A negative Ca balance, determined in presence of output exceeding input, represents a state-leading over the time to its depletion that contributes to skeletal demineralisation. On the contrary, a positive balance is associated with an accrual and replentition of Ca stores, contributing to the maintenance of bone health. Alterations in calcium metabolism observed as chronic hyper- or hypo-calcaemia, may lead to serious clinical problems. The former may predispose to vascular calcifications and nephrocalcinosis, whereas the latter, relatively more common in children, in conjunction with deficiencies of vitamin D, may result in rickets or osteomalacia, with a major impact on health, growth and development of infants, children and adolescents (Allgrove 2003).

The measurement of whole-body Ca balance is affected by some aspects making its assessment challenging. It could be skewed by erroneous determination of faecal Ca losses, which in turn affect the results more than the incorrect calculations of urinary losses. This can be explained by the need to collect faeces over a period of 5–10 days to be representative of the diet. Consequently, faecal Ca losses are up to 10 times greater than urinary losses. Among dietary factors, besides Ca, phosphorous and protein intake influence the urinary Ca excretion, potentially modulating Ca balance (Calvez et al. 2012). Thus, in order to improve the interpretation of the obtained data, the net absorption of Ca should be measured, distinguishing the unabsorbed dietary amount of Ca into the faecal output and the amount secreted into the intestine and not reabsorbed (usually referred to as endogenous faecal excretion). Furthermore, especially for children <4 years old, there is an absence of data, mainly due to the difficulties in prolonged dietary control and complete urine and faecal collections that are required for balance studies.
In conclusion, calcium balance is not an appropriate OV to be used alone for the scientific substantiation of health claims regarding the increase of calcium absorption in children.

3.2.3.3.1. Stable isotope techniques. The determination of the amount of dietary mineral absorbed and retained by consuming diets characterised by different intakes represents a valid approach to assess their human requirements. Several methods can be employed for this purpose, including mass-balance measurements, radioactive or stable isotope techniques (Abrams 1999).

Owing to the presence of six stable isotopes of Ca with different natural distribution, this mineral is particularly adequate for studies with isotopes, now more available and less expensive.

Mainly in studies performed in children, it is preferable to apply stable isotope techniques, owing to the advantages provided in comparison to other methods. Among these, it is possible to highlight:

- They are relatively more safe because of the lack of radioactive wastes. Their adaptability to longitudinal studies performed in order to evaluate the modulation of growth and development on dietary Ca requirements.
- Their ability to distinguish from the faecal output both the amounts of unabsorbed dietary Ca and endogenous faecal excretion. These two sources of Ca in the faeces are not provided by mass balance studies (Abrams 1999).

Ca absorption can be calculated using different isotopic methods. Among these, single-isotopic technique involves an isotope of the mineral ingested either with a meal or separately. The collection of faeces is completed when the entire unabsorbed isotope is recovered. The difference between the ingested and recovered amounts in the faeces represents the fraction of tracer absorbed. This method provides the benefit of calculating only dietary Ca, without including endogenous secretory losses. At the other end of the spectrum, a long period of faeces collection is required.

More information (e.g. endogenous faecal Ca excretion) can be obtained by dual tracer technique that applies a low-abundance stable isotope ingested and a different-one injected intravenously. After administration of the tracers, a complete 24 h urine collection is carried out. The amount of oral isotope absorbed is represented by the relative fraction, in the 24 h urine pool, of the ingested isotope compared with the intravenous amount (Abrams 1999). Although spot determinations of urine or serum isotope concentrations may also be employed, this method is less accurate than 24 h collection (Yergey et al. 1994). In order to assess endogenous faecal excretion of Ca, the injection of a large dose of the tracer and a collection of faeces for a period of 6–7 d (3–4 in infants) is necessary.

The determination of isotopic content of blood, urine and faecal samples can be obtained using different methodologies, such as irradiation and mass spectrometry. The former, first-developed, is relatively cumbersome compared to the latter.

In conclusion, stable isotope techniques are appropriate methods of measurement of Ca balance.

3.2.4. Improvement of iron absorption

3.2.4.1. Non-haem iron absorption. See Section 3.1.4.1

3.2.4.1.1. Double isotope technique. See Section 3.1.4.1.1

3.2.4.1.2. Whole-body counting. See Section 3.1.4.1.2

4. Conclusions

Several foods and food components have been the object of applications for authorisation of health claims pursuant to Regulation (EC) 1924/2006. Most of them have received a negative opinion for many reasons, including the choice of not appropriate OVs and/or MMs. The present manuscript provides information related to the collection, collation and critical analysis of claimed effects, OVs and MMs that have been proposed so far in the context of GI health, compliant with the European Regulation.

This work could help EFSA to develop further guidance to applicants in the preparation of new applications for authorisation of health claims related to GI tract.

Moreover, this critical evaluation may help stakeholder with interest in requesting the authorisation for the use of a health claim related to GI tract. Despite many aspects (e.g. adequate sample size, study design and statistical analysis) are crucial for receiving a positive opinion from EFSA, this work may indeed help during the choice of OVs and MMs to be considered in human intervention studies.

In addition to the use for health claim substantiation, this critical evaluation of OVs and MMs can impact general research, being used for the design of
human intervention studies, independently from health claim substantiation.

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